

# ON THE EXISTENCE OF NONTRIVIAL SOLUTIONS FOR A FOURTH-ORDER SEMILINEAR ELLIPTIC PROBLEM

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By means of Minimax theory, we study the existence of one nontrivial solution and multiple nontrivial solutions for a fourth-order semilinear elliptic problem with Navier boundary conditions.

## 1. Introduction

Let us consider the problem

$$\begin{aligned}\Delta^2 u + c\Delta u &= f(x, u), & x \in \Omega, \\ u &= 0, \quad \Delta u = 0, & x \in \partial\Omega,\end{aligned}\tag{P}$$

where,  $\Delta^2$  is the biharmonic operator and  $\Omega$  is a bounded domain in  $\mathbb{R}^n$  with smooth boundary  $\partial\Omega$ . This fourth-order semilinear elliptic problem can be considered as an analogue of a class of second order problems which have been studied by many authors. In [3], there was a survey of results obtained in this direction.

Known results about (P) were concerned with the case  $c < \lambda_1$  the first eigenvalue of  $-\Delta$  in  $H_0^1(\Omega)$ . In [8], the author proved the existence of a negative solution of (P) by a degree theory with  $f(x, u) = b[(u+1)^+ - 1]$ . [4] showed that there existed multiple solutions for  $f(x, u) = bg(x, u)$  by using variational approach. Our recent work obtained a positive solution and a negative solution of (P) by Mountain Pass Theorem, and one more nontrivial solution by Morse theory. It is natural to ask what additional phenomena if  $c$  goes beyond  $\lambda_1$ . In [5], the author considered the problem (P) with  $f(x, u) = bg(x, u)$ , and got two solutions by using a “variation of linking” theorem under certain conditions. In the present work, we study the problem (P) with  $c \geq \lambda_1$  by using variational approach.

In Section 2, we prove the existence of one nontrivial solution by Linking Theorem including the Saddle Point Theorem, whether  $c$  is one of the eigenvalues  $\lambda_k$  of  $(-\Delta, H_0^1(\Omega))$  or not. In Section 3, we obtain two nontrivial solutions by using a “variation of linking” theorem. Section 4 is devoted to prove the multiplicity of nontrivial solutions, by using the pseudo-index theory. Of course, our results are still valid for second-order semilinear elliptic problem under weaker conditions.

**2. The existence of one nontrivial solution**

Let  $H := H^2 \cap H_0^1(\Omega)$ . Denote  $0 < \lambda_1 < \lambda_2 \leq \dots$  to be the eigenvalues of  $-\Delta$  in  $H_0^1(\Omega)$ , and each eigenvalue is repeated according to its multiplicity. Let  $e_k$  be the eigenfunction corresponding to  $\lambda_k$  orthogonal in  $L^2(\Omega)$ , we can choose  $e_1 > 0$  in  $\Omega$ . Set  $\Lambda_k = \lambda_k(\lambda_k - c)$ . If  $c \geq \lambda_1$ , define

$$\begin{aligned} (u, v)_H &= \int_{\Omega} \Delta u \Delta v + \nabla u \nabla v, \\ J_c(u) &= \frac{1}{2} \int_{\Omega} (|\Delta u|^2 - c|\nabla u|^2) - \int_{\Omega} F(x, u), \end{aligned} \tag{2.1}$$

where,  $F(x, t) = \int_0^t f(x, s) ds$ . If  $c < \lambda_1$ , then  $(\int_{\Omega} (|\Delta u|^2 - c|\nabla u|^2) dx)^{1/2}$  can be taken as a norm on  $H$ , one can use the Mountain Pass theorem to establish the existence of a weak solution of (P) (and even a positive solution). However, if  $c \geq \lambda_1$ , our previous mechanism fails, we will apply the Linking Theorem to obtain the weak solution of (P).

LINKING THEOREM [9, Theorem 2.12]. *Let  $X = Y \oplus Z$  be a Banach space with  $\dim Y < \infty$ . Let  $\rho > r > 0$  and  $z \in Z$  such that  $\|z\| = r$ . Define*

$$\begin{aligned} M &= \{u = y + \lambda z : \|u\| \leq \rho, \lambda > 0, y \in Y\}, \\ M_0 &= \{u = y + \lambda z : y \in Y, \|u\| = \rho \text{ and } \lambda \geq 0 \text{ or } \|u\| \leq \rho \text{ and } \lambda = 0\}, \\ N &= \{u \in Z : \|u\| = r\}. \end{aligned} \tag{2.2}$$

Let  $J \in C^1(X, \mathbb{R})$  be such that

$$b := \inf_N J > a := \max_{M_0} J. \tag{2.3}$$

If  $J$  satisfies the (PS) condition, then  $J$  has a critical point whose critical value not smaller than  $b$ .

Assume  $\lambda_n < c < \lambda_{n+1}$ ,  $n \geq 1$ . let

$$Y := \text{span} \{e_1, \dots, e_n\}, \quad Z := \{u \in H : (u, v) = 0, \forall v \in Y\}. \tag{2.4}$$

Since  $c < \lambda_{n+1}$ ,  $\|w\|^2 = \int_{\Omega} (|\Delta w|^2 - c|\nabla w|^2)$  and  $\|w\|_H^2$  are norms equivalent in the space  $Z$ , denote  $\|w\|^2 = \|w\|_H^2$  for convenience. Then  $H = Y \oplus Z$ .

The conditions imposed on  $f(x, t)$  are as follows:

(f<sub>1</sub>)  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function, and for some  $1 < p < 2^* = (2N/N - 4)$ ,  $c_0 > 0$ ,

$$|f(x, u)| \leq c_0(1 + |u|^{p-1}). \tag{2.5}$$

(f<sub>2</sub>) There exists  $\alpha > 2$ , for  $|u| \gg 1$ ,

$$0 < \alpha F(x, u) \leq u f(x, u). \tag{2.6}$$

(f<sub>3</sub>)  $f(x, u) = o(|u|)$ ,  $|u| \rightarrow 0$  uniformly on  $\Omega$ .

$$(f_4) \ (\Lambda_n/2)u^2 \leq F(x, u) = \int_0^u f(x, t)dt.$$

LEMMA 2.1. Under  $(f_1)$ - $(f_2)$ , any sequence  $(u_n) \subset H$  such that

$$d := \sup J_c(u_n) < \infty, \quad J'_c(u_n) \rightarrow 0, \tag{2.7}$$

contains a convergent subsequence.

*Proof.* First of all, we observe that

$$\nabla J_c(u) = u + i^* ((1 + c)\Delta u - f(x, u)), \tag{2.8}$$

where,  $i^* : L^2(\Omega) \rightarrow H$  is a compact operator ( $i^*$  is the adjoint of the immersion  $i : H \hookrightarrow L^2(\Omega)$ ).

It is enough to prove that  $(\|u_n\|)_{n \in \mathbb{N}}$  is bounded, because of (2.8) and  $(f_1)$ . We consider the case  $N \geq 5$ . Form  $(f_2)$ , we obtain the existence  $c_1 > 0$  such that

$$c_1 (|u|^\alpha - 1) \leq F(x, u). \tag{2.9}$$

Let  $\beta \in (\alpha^{-1}, 2^{-1})$ , for  $n$  large enough and  $c_2, c_3 > 0$ , we have

$$\begin{aligned} d + 1 + \|u_n\|_H &\geq J_c(u_n) - \beta \langle J'_c(u_n, u_n) \rangle \\ &= \int_\Omega \left[ \left( \frac{1}{2} - \beta \right) (|\Delta u_n|^2 - c |\nabla u_n|^2) + \beta f(x, u_n)u_n - F(x, u_n) \right] dx \\ &\geq \left( \frac{1}{2} - \beta \right) (\|z_n\|_H^2 + \Lambda_1 |y_n|_2^2) + (\alpha\beta - 1) \int_\Omega F(x, u_n) dx - c_2 \\ &\geq \left( \frac{1}{2} - \beta \right) (\|z_n\|_H^2 + \Lambda_1 |y_n|_2^2) + c_1(\alpha\beta - 1) |u_n|_\alpha^\alpha - c_3, \end{aligned} \tag{2.10}$$

where,  $u_n = y_n + z_n$ ,  $y_n \in Y$ ,  $z_n \in Z$ . It is easy to verify that  $(u_n)$  is bounded in  $H$  using the fact that  $\dim Y$  is finite.

A standard argument shows that  $\{u_n\}$  has a convergent subsequence in  $H$ . Therefore,  $J$  satisfies the (PS) condition.  $\square$

THEOREM 2.2. Assume  $(f_1)$ - $(f_4)$ , then problem (P) has at least one nontrivial solution.

*Proof.* (1) We consider the case  $N \geq 5$ . We will verify the assumptions of the Linking Theorem. The (PS) condition follows from the preceding Lemma 2.1.

(2) By  $(f_1)$   $(f_2)$ , we have

$$\forall \varepsilon > 0, \exists c_\varepsilon > 0 \text{ such that } |F(x, u)| \leq \varepsilon |u|^2 + c_\varepsilon |u|^p. \tag{2.11}$$

On  $Z$ , we obtain

$$J_c(u) \geq \frac{1}{2} \|u\|_H^2 - \int_\Omega (\varepsilon |u|^2 + c_\varepsilon |u|^p) = \frac{1}{2} \|u\|_H^2 - \varepsilon |u|_2^2 - c_\varepsilon |u|_p^p. \tag{2.12}$$

By Sobolev imbedding theorem, there exists  $r > 0$ , such that

$$b := \inf_{\|u\|_H=r} J_c(u) > 0, \quad u \in Z. \tag{2.13}$$

(3) By  $(f_4)$ , on  $Y$  we have

$$J_c(u) \leq \int_{\Omega} \left[ \frac{1}{2} \Lambda_n u^2 - F(x, u) \right] \leq 0. \tag{2.14}$$

Define  $z := r e_{n+1} / \|e_{n+1}\|_H$ . It follows (2.9), for  $u = y + \lambda z$  with  $\lambda > 0$ , we deduce

$$\begin{aligned} J_c(u) &= \frac{1}{2} \int_{\Omega} \left[ |\Delta(y + \lambda z)|^2 - c |\nabla(y + \lambda z)|^2 \right] - \int_{\Omega} F(x, u) \\ &= \frac{1}{2} \int_{\Omega} (|\Delta y|^2 - c |\nabla y|^2) + \frac{\lambda}{2} \int_{\Omega} (|\Delta z|^2 - c |\nabla z|^2) - \int_{\Omega} F(x, u) \\ &\leq \frac{1}{2} \Lambda_n \int_{\Omega} y^2 + \frac{\lambda}{2} r - c_1 |u|_{\alpha}^{\alpha} + c_1 |\Omega|. \end{aligned} \tag{2.15}$$

Since on the finite dimensional space  $Y \oplus \mathbb{R}z$  all norms are equivalent, then we get

$$J_c(u) \longrightarrow -\infty, \quad \|u\|_H \longrightarrow \infty, \quad u \in Y \oplus \mathbb{R}z. \tag{2.16}$$

Thus, there exists  $\rho > r$  such that

$$\max_{M_0} J_c = 0, \tag{2.17}$$

where  $M_0$  is as above. By the Linking Theorem, there exists a critical point  $u$  of  $J$  satisfying  $J_c(u) \geq b > 0$ . Since  $J_c(0) = 0$ , then  $u$  is a nontrivial solution of  $(P)$ .  $\square$

*Remark 2.3.* If  $c < \lambda_1$ , it suffices to use the Mountain Pass Theorem [6, Theorem 2.2] instead of Linking Theorem.

**THEOREM 2.4.** Under  $(f_1)$ – $(f_3)$  with  $c < \lambda_1$ ,  $(P)$  has a nontrivial solution.

*Proof.* Please see [6, Theorem 2.15] for its proof in detail, where  $E = H^2 \cap H_0^1(\Omega)$ ,  $(u, v)_E = \int_{\Omega} (\Delta u \Delta v - c \nabla u \nabla v)$  and  $I_c(u) = (1/2) \int_{\Omega} (|\Delta u|^2 - c |\nabla u|^2) - \int_{\Omega} F(x, u)$ .  $\square$

Similarly, we can obtain the following corollary.

**COROLLARY 2.5.** Under  $(f_1)$ – $(f_3)$  and

$$(f_4)' \quad f(x, t)t \geq 0 \text{ for all } t \in \mathbb{R},$$

problem  $(P)$  has a positive solution and a negative solution.

*Proof.* By the truncation technique and the Mountain Pass theorem, the problem

$$\begin{aligned} \Delta^2 u + c\Delta u &= \bar{f}(x, u), \quad x \in \Omega, \\ u = 0, \quad \Delta u &= 0, \quad x \in \partial\Omega, \end{aligned} \tag{P̄}$$

where

$$\bar{f}(x, u) = \begin{cases} f(x, u), & u \geq 0, \\ 0, & u < 0, \end{cases} \tag{2.18}$$

has a solution  $u \not\equiv 0$  satisfying

$$\int_{\Omega} \Delta u \Delta v - c \nabla u \nabla v = \int_{\Omega} \bar{f}(x, u) v, \quad \forall v \in H. \tag{2.19}$$

Let  $A = \{x \in \Omega \mid u(x) < 0\}$ , then by the definition of  $\bar{f}$ ,

$$\begin{aligned} \Delta^2 u + c\Delta u &= 0, \quad x \in A, \\ u = 0, \quad \Delta u &= 0, \quad x \in \partial A. \end{aligned} \tag{2.20}$$

By the maximum principle, we have  $u \equiv 0$  in  $A$ , therefore  $A = \emptyset$ . Thus  $u \geq 0$  a.e. on  $\Omega$ .

From  $(f_4)'$ , further using the strong maximum principle [2], we deduce  $u > 0$ , that is,  $u$  is the positive solution of  $(P)$ . □

While for  $\lambda_1 < c \in (\lambda_n, \lambda_{n+1})$ , by the Linking Theorem, we have the following theorem.

**THEOREM 2.6.** *Under  $(f_1)$ – $(f_4)'$ , problem  $(P)$  has at least a nontrivial solution.*

*Proof.* Condition  $(f_4)'$  is stronger than  $(f_4)$ , which is also applied to show that, for  $u \in Y$

$$J_c(u) = \frac{1}{2} \int_{\Omega} [|\Delta u|^2 - c|\nabla u|^2] - \int_{\Omega} F(x, u) \leq \frac{1}{2} \Lambda_n \int_{\Omega} u^2 - \int_{\Omega} F(x, u) \leq 0. \tag{2.21}$$

As the similar proof of Theorem 2.2, we obtain the result. □

*Remark 2.7.* In Corollary 2.5, we obtain a positive solution of  $(P)$  by using truncation technique, if  $c < \lambda_1$ . However, if  $c \geq \lambda_1$ , we cannot expect a positive solution of  $(P)$ . Indeed, if  $v_1$  is the eigenfunction corresponding to  $\lambda_1$ , we can assume  $v_1 > 0$  in  $\Omega$ . Therefore, if  $u$  is a solution of  $(P)$ , we get

$$\int_{\Omega} f(x, u) v_1 = \int_{\Omega} (\Delta^2 u + c\Delta u) v_1 = \int_{\Omega} (\Delta^2 v_1 + c\Delta v_1) u = \Lambda_1 \int_{\Omega} v_1 u. \tag{2.22}$$

If  $u$  is positive in  $\Omega$ , the left-hand side of (2.22) is nonnegative by  $(f_4)'$ , while the right-hand side is nonpositive, since  $c \geq \lambda_1$ . Thus, there can only be a positive solution  $u(x)$  if  $c = \lambda_1$ , and  $p(x, u(x)) \equiv 0$ .

If  $c = \lambda_k < \lambda_{k+1}$ , we can apply the Saddle Point Theorem to obtain a nontrivial solution of  $(P)$ .

SADDLE POINT THEOREM [6, Theorem 4.6]. Let  $E = V \oplus X$ , where  $E$  is a real Banach space and  $V \neq \{0\}$  is finite dimensional. Suppose  $J \in C^1(E, \mathbb{R})$  satisfies (PS) condition, and

- (I<sub>1</sub>) there is a constant  $\alpha$  and a bounded neighborhood  $D$  of 0 in  $V$ , such that  $J|_{\partial D} \leq \alpha$ ,
- (I<sub>2</sub>) there is a constant  $\beta > \alpha$  such that  $J|_X \geq \beta$ .

Then  $J$  possessed a critical point whose critical value  $c \geq \beta$ .

THEOREM 2.8. Under the following conditions

- (i)  $f : \Omega \times \mathbb{R} \rightarrow \mathbb{R}$  is a Carathéodory function, and for some  $C > 0$ ,  
 $|f(x, t)| \leq C$ ,
- (ii)  $F(x, \xi) = \int_0^\xi f(x, t) dt \rightarrow \infty$  as  $|\xi| \rightarrow \infty$  uniformly for  $x \in \Omega$ ,

problem (P) possesses a nontrivial solution.

*Proof.* Since (i),  $J_c$  is of  $C^1$ . Let  $V := \text{span}\{e_1, \dots, e_k\}$ , and  $X := \overline{\text{span}\{e_j | j \geq k + 1\}}$ , so  $X = V^\perp$ . Therefore  $H = V \oplus X$ . We will show that  $J_c$  satisfies (i) (ii) and (PS) condition. Then our result follows from the Saddle Point Theorem.

By (i), let  $M := \sup_{x \in \bar{\Omega}, \xi \in \mathbb{R}} |f(x, \xi)|$ , then

$$\left| \int_\Omega F(x, u) dx \right| \leq M \int_\Omega |u| dx \leq M_1 \|u\|_H, \tag{2.23}$$

for all  $u \in H$  via the Hölder and Poincaré inequality. On  $X$ , the norms  $\|u\|^2 = \int_\Omega (|\Delta u|^2 - c|\nabla u|^2)$  and  $\|u\|_H^2$  are equivalent, we have

$$J_c(u) = \frac{1}{2} \int_\Omega [|\Delta u|^2 - c|\nabla u|^2] - \int_\Omega F(x, u) \geq c_1 \|u\|_H^2 - M_1 \|u\|_H, \quad c_1 > 0, \tag{2.24}$$

which shows  $J_c$  is bounded from below on  $X$ , that is, (I<sub>2</sub>) holds.

Next, if  $u \in V$ , then  $u = u^0 + u^-$ , where  $u^0 \in E^0 := \text{span}\{e_j | \lambda_j = c\}$ , and  $u^- \in E^- := \text{span}\{e_j | \lambda_j < c\}$ . Then

$$J_c(u) = \frac{1}{2} \int_\Omega (|\Delta u^-|^2 - c|\nabla u^-|^2) - \int_\Omega F(x, u^0) - \int_\Omega (F(x, u^0 + u^-) - F(x, u^0)). \tag{2.25}$$

Estimating the last term as in (2.23), since all norms are equivalent on the finite dimensional subspace  $E^-$ , we have

$$J_c(u) \leq -M_2 \|u^-\|_H^2 - \int_\Omega F(x, u^0) + M_1 \|u^-\|_H. \tag{2.26}$$

Now, (2.26) and (ii) show  $J_c(u) \rightarrow -\infty$  as  $u \rightarrow \infty$  in  $V$ . Hence  $J_c$  satisfies (I<sub>1</sub>).

Lastly to verify (PS) condition, it suffices to show that  $|J_c(u_m)| \leq K$  and  $J'_c(u_m) \rightarrow 0$  implies  $(u_m)$  is bounded, since (i) and (2.8). Writing  $u_m = u_m^0 + u_m^- + u_m^+$ , where  $u_m^0 \in E^0$ ,  $u_m^- \in E^-$ ,  $u_m^+ \in X$ . For large  $m$ ,

$$|J'_c(u_m)u_m^\pm| = \left| \int_\Omega [\Delta u_m \Delta u_m^\pm - c \nabla u_m \nabla u_m^\pm - f(x, u_m)u_m^\pm] dx \right| \leq \|u_m^\pm\|_H. \tag{2.27}$$

Consequently, since  $X = V^\perp$ , by (2.27) and an estimate like (2.23), we get

$$\|u_m^+\|_H \geq \|u_m^+\|_H^2 - M_1 \|u_m^+\|_H. \tag{2.28}$$

which shows that  $\{\|u_m^+\|_H\}$  is bounded. Similarly  $\{\|u_m^-\|_H\}$  is bounded. Finally we claim that  $\{\|u_m^0\|_H\}$  is bounded. Then  $(u_m)$  is bounded in  $H$  and we are through. Indeed,

$$K \geq |J_c(u_m)| = \left| \int_\Omega \left\{ \frac{1}{2} [|\Delta u_m^+|^2 + |\Delta u_m^-|^2 - c|\nabla u_m^+|^2 - c|\nabla u_m^-|^2] - (F(x, u_m) - F(x, u_m^0)) \right\} dx - \int_\Omega F(x, u_m^0) dx \right|. \tag{2.29}$$

By what has already been shown, the first term on the right is bounded independently of  $m$ . Therefore

$$K \geq \left| \int_\Omega F(x, u_m^0) dx \right| - K_1, \tag{2.30}$$

so  $(\int_\Omega F(x, u_m^0) dx)$  is bounded, which implies  $(u_m^0)$  is bounded as the proof of Lemma 4.21 [6]. □

*Remark 2.9.* If (ii) is replaced by  $F(x, \xi) \rightarrow -\infty$  as  $|\xi| \rightarrow \infty$ , the above arguments can easily be modified to handle this case.

### 3. The existence of two nontrivial solution

By using the following “a variation of linking” theorem, we can obtain at least two solutions of (P).

**THEOREM 3.1** (“a variation of linking”) [7, Corollary 2.4]. *Let  $N$  be a subspace of a Hilbert space  $H$ , such that  $0 < \dim N < \infty$ , and  $M = N^\perp$ . Assume  $J$  is a continuously differentiable functional on  $H$ , which satisfies for some  $\alpha < \beta$ ,  $0 < \delta < R$  and  $w_0 \in M \setminus \{0\}$ ,*

$$\begin{aligned} J(v) &\leq \alpha, & v \in N, & \quad \|v\| \leq R, \\ J(sw_0 + v) &\leq \alpha, & s > 0, v \in N, & \quad \|sw_0 + v\| = R, \\ J(w) &\geq \beta, & w \in H, & \quad \|w\| = \delta. \end{aligned} \tag{3.1}$$

*If  $J$  satisfies the (PS) condition, then there are at least two solutions of  $J'(u) = 0$ , one satisfies  $J(u) \leq \alpha$  and the other  $J(u) \geq \beta$ .*

THEOREM 3.2. Assume  $c \in (\lambda_{l-1}, \lambda_l)$  with  $l \geq 2$ , under the conditions  $(f_1)$   $(f_2)$  and

$(f_5)$   $F(x, t) = \int_0^t f(x, s) ds$  satisfies

$$\frac{1}{2} \Lambda_{l-1} t^2 - w_0(x) \leq F(x, t) \leq \frac{1}{2} \nu_1 t^2 + V(x)^p |t|^p + w_1(x), \tag{3.2}$$

where  $\nu_1 < \Lambda_l$ ,  $p > 2$ .

$$B_j := \int_{\Omega} w_j(x) dx < \infty, \quad j = 0, 1, \tag{3.3}$$

$$|Vu|_p^p \leq C \|u\|_H^p, \quad u \in H,$$

$(f_6)$  the following inequality holds:

$$B_0 + B_1 < \frac{1}{2} \left(1 - \frac{2}{p}\right) \left(1 - \frac{\nu_1}{\Lambda_l}\right)^{p/(p-2)} \left(\frac{1}{pC}\right)^{2/(p-2)}, \tag{3.4}$$

problem  $(P)$  has at least two nontrivial solutions.

*Proof.* Note that above conditions allow  $f(x, 0) \neq 0$ .

Under  $(f_1)$ , it is readily checked that the functional  $J_c$  is of  $C^1$ . Let  $N$  be the subspace spanned by the eigenfunctions corresponding to the eigenvalues  $\Lambda_1, \dots, \Lambda_{l-1}$ , and let  $M = N^\perp \cap H$ , the orthogonal complement of  $N$  in  $H$ . On  $M$  we have by  $(f_5)$ ,

$$J_c(w) = \frac{1}{2} \|w\|_H^2 - \int_{\Omega} F(x, u) \geq \frac{1}{2} \|w\|_H^2 - \frac{\nu_1}{2} |w|_2^2 - |Vw|_p^p - B_1 \tag{3.5}$$

$$\geq \frac{1}{2} \left(1 - \frac{\nu_1}{\Lambda_l}\right) \|w\|_H^2 - C \|w\|_H^p - B_1.$$

If we take  $\delta^{p-2} = (1 - (\nu_1/\Lambda_l))/pC$ , we get

$$J_c(w) \geq \frac{1}{2} \left(1 - \frac{2}{p}\right) \left(1 - \frac{\nu_1}{\Lambda_l}\right) \delta^2 - B_1 = \frac{1}{2} \left(1 - \frac{2}{p}\right) \left(1 - \frac{\nu_1}{\Lambda_l}\right)^{p/(p-2)} \left(\frac{1}{pC}\right)^{2/(p-2)} - B_1, \tag{3.6}$$

$$\|w\|_H = \delta, \quad w \in M.$$

On the other hand, on  $N$  we have by  $(f_5)$ ,

$$J_c(v) \leq \frac{1}{2} \int_{\Omega} (|\Delta v|^2 - c|\nabla v|^2) - \frac{1}{2} \Lambda_{l-1} \int_{\Omega} v^2 + B_0 \leq B_0, \quad v \in N. \tag{3.7}$$

Let  $w_0$  be an eigenfunction corresponding to the eigenvalue  $\lambda_l$  with unit norm, and let  $N_1$



denote the subspace spanned by  $N$  and  $w_0$ . On  $N_1$  we have by  $(f_2)$ ,

$$\begin{aligned}
 J_c(u) &\leq \frac{1}{2} \int_{\Omega} [|\Delta(v + sw_0)|^2 - c|\nabla(v + sw_0)|^2] - \int_{\Omega} F(x, u) \\
 &\leq \frac{1}{2} \Lambda_{l-1} \int_{\Omega} v^2 + \frac{s}{2} - c_1|u|_{\alpha}^{\alpha} + c_1|\Omega|,
 \end{aligned}
 \tag{3.8}$$

where  $v \in N, s > 0$ .

In particular, we see that

$$J_c(u) \longrightarrow -\infty \text{ as } \|u\|_H \longrightarrow \infty, \quad u \in N_1,
 \tag{3.9}$$

since all norms are equivalent on the finite dimensional space  $N_1$ .

Take  $R$  so large that  $R > \delta$  and

$$J_c(u) \leq B_0, \quad \|u\| \geq R, \quad u \in N_1.
 \tag{3.10}$$

If  $\beta$  denote the right-hand of (3.5), we see that  $B_0 < \beta$  by  $(f_6)$ . Under  $(f_2)$ , Lemma 2.1 has shown that  $J_c$  satisfies the (PS) condition, then our results will follow from Theorem 3.1, that is,  $J_c$  has at least two nontrivial critical points, since  $J_c(0) \neq 0$ .  $\square$

*Remark 3.3.* Indeed, if  $f(x, 0) \neq 0$  in Theorems 2.2, 2.6, and 2.8, we also can obtain at least two nontrivial solutions under certain conditions.

#### 4. The existence of multiple solutions

In this section, we will prove an existence result of multiple solutions by using pseudo-index. We first recall the definition of genus and an abstract theorem of [1].

Let  $E$  be a Banach space,  $J \in C^1(E, \mathbb{R})$  satisfy  $J(-u) = J(u)$  for all  $u \in E$ . Denote  $\Sigma$  to be all the symmetrical and closed sets in  $E$ , and  $\mathbb{Z}_+$  the set of nonnegative integer. Define

$$\gamma(A) := \inf \{n \in \mathbb{Z}_+ : \text{there is a continuous and odd map } \varphi : A \longrightarrow \mathbb{R}^n \setminus \{0\}\}.
 \tag{4.1}$$

If for all  $n \in \mathbb{N}$ , there is no such  $\varphi$ , set  $\gamma(A) = +\infty$ , while  $A = \emptyset$ , set  $\gamma(A) = 0$ . Genus  $\gamma$  has the following properties:

PROPOSITION 4.1 [9, Theorem 3.2 IV]. *The following conditions hold.*

- (1<sup>o</sup>) If  $E = X_1 \oplus X_2, \dim X_1 = k, \gamma(A) > k$ , then  $A \cap X_2 \neq \emptyset$ .
- (2<sup>o</sup>) If  $\Omega$  is a symmetrical and bounded neighborhood of 0 in  $\mathbb{R}^m$ , and there exists a mapping  $h \in C(A, \partial\Omega)$  with  $h$  an odd homeomorphism, then  $\gamma(A) = m$ , for  $A \in \Sigma$ .
- (3<sup>o</sup>) If  $\gamma(A) = k, 0 \notin A$ , then there exist at least  $k$  distinct pairs of points in  $A$ .

Now, we define the pseudo-index  $i^*$  by  $\gamma$ ,

$$i^*(A) = \inf_{h \in \Lambda_*(\rho)} \gamma(A \cap h(\partial B_1)),
 \tag{4.2}$$

where  $A \in \Sigma^* = \{A \in \Sigma : A \text{ is compact}\}$  and  $\Lambda_*(\rho) = \{h \in C(E, E) : h \text{ is an odd homeomorphism, for some } \rho > 0, h(B_1) \subset J^{-1}(0, \infty) \cup B_{\rho}\}$ .

**THEOREM 4.2** [1, Theorem 3.6 IV]. *Let  $E$  be a Banach space,  $J \in C^1(E, \mathbb{R})$  satisfy  $J(-u) = J(u)$  for all  $u \in E$ . Assume*

(I) *there exist  $\rho, \alpha_0 > 0$  and a subspace  $E_1 \subset E$  with  $\dim E_1 = m_1$ , such that*

$$J|_{E_1^\perp \cap B_\rho} \geq \alpha_0, \tag{4.3}$$

(II) *there exists a subspace  $E_2 \subset E$  with  $\dim E_2 = m_2 > m_1$ , and  $R > 0$  such that  $J(u) \leq 0$  for all  $u \in E_2 \setminus B_R$ ,*

*and  $J$  satisfies (PS) condition, then  $J$  has at least  $m_2 - m_1$  distinct pairs of critical points with critical value*

$$c_n^* = \inf_{i^*(A) \geq n} \sup_{u \in A} J(u). \tag{4.4}$$

**THEOREM 4.3.** *Assume  $(f_1), (f_2), (f_3)$ , and*

(f<sub>4</sub>)  *$f(x, t)$  is odd in  $t$ .*

*If  $\lambda_j < c < \lambda_{j+1}$ , then (P) has infinitely many nontrivial solutions.*

*Proof.* Let  $E = H := H^2 \cap H_0^1(\Omega)$ , first we will prove (I) (II) of Theorem 4.2 are satisfied under the conditions of Theorem 4.3.

(I) Let  $E_1 := Y_j = \text{span}\{e_1, \dots, e_j\}$ , the similar proof of Theorem 2.2 (2), for all  $u \in Y_j^\perp$ ,

$$J_c(u) \geq \frac{1}{2} \|u\|_H^2 - \varepsilon \|u\|_2^2 - c_\varepsilon \|u\|_p^p, \tag{4.5}$$

thus, there exist  $\rho, \alpha_0 > 0$  small enough, such that

$$J_c(u) \geq \alpha_0, \quad \forall u \in Y_j^\perp, \quad \|u\|_H = \rho. \tag{4.6}$$

(II) For  $m \geq 1$  fixed, since all norms are equivalent on the finite dimensional space  $Y_{j+m}$ , by (2.9) there exists a sufficiently big constant  $R > \rho$ , such that

$$\begin{aligned} J_c(u) &\leq \frac{1}{2} \Lambda_{j+m} \int_\Omega u^2 - c_1 \|u\|_H^\alpha + c_1 |\Omega| \\ &= c_2 \|u\|_H^2 - c_1 \|u\|_H^\alpha + c_1 |\Omega| < 0, \quad u \in Y_{j+m} \setminus B_R. \end{aligned} \tag{4.7}$$

Next, by the properties of genus and the definition of  $c_n^*$ , we have

$$\alpha_0 \leq c_{j+s}^* < +\infty, \quad m \geq s \geq 1. \tag{4.8}$$

Indeed, for all  $A \in \Sigma^*$  satisfying  $i^*(A) \geq j + s$ , let  $h_0 = \rho \cdot id$ , then  $h_0 \in \Lambda_*(\rho)$  and

$$\gamma(A \cap \partial B_\rho) = \gamma(A \cap h_0(\partial B_1)) \geq \inf_{h \in \Lambda_*(\rho)} \gamma(A \cap h(\partial B_1)) = i^*(A) > j. \tag{4.9}$$

By (1°) of Proposition 4.1,  $A \cap \partial B_\rho \cap Y_j^\perp \neq \emptyset$ , then (4.6) implies

$$\sup_{u \in \Lambda} J_c(u) \geq \inf_{u \in \partial B_\rho \cap Y_j^\perp} J_c(u) \geq \alpha_0. \quad (4.10)$$

Since  $A \in \Sigma^*$  is arbitrary, then  $c_{j+s}^* \geq \alpha_0$ .

As the proof of Theorem 3.6 IV [1],  $c_{j+s}^* < +\infty$ , since  $j+s \leq \dim Y_{j+m}$ .

Thus, we have

$$\alpha_0 \leq c_{j+1}^* \leq c_{j+2}^* \leq \cdots \leq c_{j+m}^* < +\infty, \quad (4.11)$$

and the (PS) condition is obtained by Lemma 2.1. Therefore, Theorem 4.2 implies that  $J_c$  admits at least  $m$  distinct pairs of critical points. Since  $m$  is arbitrary and  $\lambda_j \rightarrow +\infty$  as  $j \rightarrow \infty$ , then (P) has infinitely many nontrivial solutions.  $\square$

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