Properly discontinuous actions on bounded domains

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Abstract

We give sufficient conditions for the quotient of a free, properly discontinuous action on a bounded domain of holomorphy to be a Stein manifold in terms of Poincaré series or limit sets for orbits. An immediate consequence is that the quotient of any cyclic, free, properly discontinuous action on the unit ball or the bidisc is Stein.

Keywords: properly discontinuous action, Stein manifold.

MSC: 32Q28

1 Introduction

The uniformization theorem tells us that one can study algebraic properties of compact Riemann surfaces with genus greater than one through the function theory of the unit disc (e.g., the Poincaré series). Such an idea may be extended without any difficulty to high dimensional compact complex manifolds covered by bounded domains in \mathbb{C}^n (cf. [13]). However, those non-compact complex manifolds uniformized by bounded domains, which are much more than compact ones, are largely ignored, expect the special case when they can be compactified. Function theory of such manifolds should be of great interests. In this paper, we shall first prove some results along this line.

Theorem 1. Let Γ be a free, properly discontinuous group of automorphisms of the unit ball $\mathbf{B} \subset \mathbf{C}^n$. Suppose there exists a point $a \in \mathbf{B}$ such that

$$\sum_{\gamma \in \Gamma} (1 - |\gamma(a)|) < \infty. \tag{1}$$

Then \mathbf{B}/Γ is a Stein manifold which possesses a negative and C^{∞} strictly plurisubharmonic function.

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A standard application of the L^2 -estimate for the $\bar{\partial}$ -operator on complete Kähler manifolds (cf. [1], [3]) yields

Corollary 1. The Bergman metric exists on \mathbf{B}/Γ .

Remark. One might expect that condition (1) is not necessary for the existence of the Bergman metric on \mathbf{B}/Γ . However, Mumford [9] has constructed a two-dimensional compact ball quotient without non-zero holomorphic (2,0)-forms.

In the classification of open Riemann surfaces, one calls an open Riemann surface hyperbolic if the Green function exists. We have the following new characterization of hyperbolic Riemann surfaces.

Corollary 2. An open Riemann surface is hyperbolic iff it carries a negative, C^{∞} strictly subharmonic function.

Proof. We only need to verify the "only if" part. By the uniformization theorem we can write a hyperbolic Riemann surface as Δ/Γ where Γ acts freely and properly discontinuously on the unit disc Δ . According to a classical theorem of Myrberg (cf. Theorem XI. 13. in [15]), Γ satisfies condition (1) and the assertion follows immediately from Theorem 1.

In § 4 we will show that every cyclic, properly discontinuous action of the unit disc Δ satisfies condition (1), while for the case of the unit ball $\mathbf{B} \subset \mathbf{C}^n$, n > 1 most cyclic, properly discontinuous actions of \mathbf{B} satisfies condition (1) except when generator is certain parabolic elements.

The method for proving Theorem 1 also works for those bounded domains admitting a negative *Strictly* plurisubharmonic exhaustion function whose modulus is asymptotic to the boundary distance (e.g. strongly pseudoconvex domains), however it is not sufficient for more general pseudoconvex domains (e.g., bounded symmetric domains). Thus a variation of this approach is needed.

In order to present a general result, we need some preparation. Let M be a complex manifold of dimension n. The pluricomplex Green function with pole w is defined by

$$g_M(z, w) = \sup\{u(z)\}\$$

where the supremum is taken over all negative plurisubharmonic functions u on M satisfying $u(z) \leq \log |z - w| + O(1)$ in a suitable coordinate neighborhood around w. If $F: M \to M'$ is a holomorphic map, then $g_M(z, w) \geq g_{M'}(F(z), F(w))$ and equality holds when F is biholomorphic. We say that $g_M(\cdot, w)$ exists if

$$|g_M(z, w) - \log |z - w|| = O(1)$$

holds in a coordinate neighborhood of w and $-g_M(z, w)$ is bounded outside this neighborhood (i.e., $g_M(z, w)$ has no other pole than w). M is called pluricomplex hyperbolic

if $g_M(\cdot, w)$ exists for every $w \in M$. Typical examples involve bounded domains in \mathbb{C}^n and their biholomorphic images. It is also known that the Bergman metric exists on pluricomplex hyperbolic Stein manifolds (cf. [2]).

Theorem 2. Let M be a pluricomplex hyperbolic Stein manifold. Suppose Γ is a free, properly discontinuous group of automorphisms of M so that the inequality

$$\sum_{\gamma \in \Gamma, \gamma \neq I} g_M(\gamma(z), z) > -\infty \tag{2}$$

for all $z \in M$. Then M/Γ is also a pluricomplex hyperbolic Stein manifold.

Theorem 3. The condition of Theorem 2 is satisfied in the following cases:

1) M: bounded convex domain in \mathbb{C}^n , Γ : free, properly discontinuous group so that the inequality

$$\sum_{\gamma \in \Gamma} \delta_M(\gamma(a)) < \infty$$

holds for some $a \in M$. Here δ_M denotes the Euclidean boundary distance.

2) M: the Teichmüller space of Riemann surfaces with genus g and n-punctures, Γ : free, properly discontinuous group so that the inequality

$$\sum_{\gamma \in \Gamma} e^{-2d_T(\gamma(a), a)} < \infty$$

holds for some $a \in M$. Here d_T is the Teichmüller distance.

Next, we study how the limit set of a properly discontinuous action Γ on a bounded domain Ω in \mathbb{C}^n infects the geometry of Ω or Ω/Γ . A point $w \in \partial \Omega$ is called a limit point of Γ if there exists a compact subset K, $\{z_k\} \subset \Omega$ with $z_k \to w$ and $\{\gamma_k\} \subset \Gamma$ such that $\gamma_k(z_k) \in K$. Let L_{Γ} be the set of all limit points of Γ . For a fundamental domain D of Γ and $a \in D$, we define by Γ_a the orbit at a and call Γ the set of cluster points of Γ . It is obvious that $\bigcup_{a \in D} \Gamma_a \subset L_{\Gamma}$. Let K_{Ω} denote the Bergman kernel of Ω . Define

$$\Lambda_{\Omega} = \{ w \in \partial\Omega : \limsup_{z \to w} K_{\Omega}(z) = \infty \}$$

where $\partial\Omega$ is the boundary of Ω . We call Ω an L_h^2 -domain of holomorphy if there are no domains Ω_1, Ω_2 in \mathbb{C}^n with $\Omega_2 \subset \Omega \cap \Omega_1$, $(\mathbb{C}^n \setminus \Omega) \cap \Omega_1 \neq \emptyset$ so that for any L^2 -holomorphic function f in Ω there exists a holomorphic function F in Ω_1 with F = f in Ω_2 . Clearly, every L_h^2 -domain of holomorphy must be a domain of holomorphy. It is also known that Ω is an L_h^2 -domain of holomorphy if and only if $\Lambda_\Omega = \partial\Omega$ (cf. [10]).

Theorem 4. $L_{\Gamma} \subset \Lambda_{\Omega}$. In particular, if L_{Γ} is dense in $\partial\Omega$ (in the Euclidean topology), then Ω is an L_h^2 -domain of holomorphy.

Clearly, if Γ has a compact fundamental domain, then $L_{\Gamma} = \partial \Omega$. Thus we get a generalization of a well-known theorem of Siegel on Steinness of a bounded domain

when Ω has a compact quotient (cf. [13]). It is expected that L_{Γ} lies dense in $\partial\Omega$ when the fundamental domain is non-compact but the part intersecting $\partial\Omega$ is sufficiently "small" (eg., finite sets).

The case when the limit set is relatively "small" is of independent interest, we have the following

Theorem 5. Let Ω be a bounded Stein domain in \mathbb{C}^n and Γ a free, properly discontinuous action on Ω . Suppose there exists a negative continuous plurisubharmonic function ψ on Ω so that $\psi > -\infty$ on Ω and $\psi(z) \to -\infty$ as $z \to \bigcup_{a \in D} C\Gamma_a$ where D is a fundamental domain of Γ . Then Ω/Γ is a Stein manifold which carries a negative, C^{∞} strictly plurisubharmonic function. In particular, the Bergman metric exists on Ω/Γ .

Clearly, the condition of Theorem 5 is satisfied when $\bigcup_{a \in D} C\Gamma_a$ is complete pluripolar, i.e., it is precisely the set for certain plurisubharmonic function in \mathbb{C}^n taking value $-\infty$ (e.g., finite sets). Based on the following results, it seems to be true that free, properly discontinuous and cyclic actions of any bounded Stein domain Ω would satisfy this condition.

Theorem 6. For every infinite, cyclic, free and properly discontinuous action Γ of \mathbf{B} , the set $\bigcup_{a \in D} C\Gamma_a$ has at most two elements. In particular, the quotient is a Stein manifold.

Theorem 7. Every infinite, cyclic, free and properly discontinuous action of the bidisc Δ^2 satisfies the condition of theorem 5. In particular, the quotient is a Stein manifold.

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2 Preliminaries

Let M be a complex manifold and $\operatorname{Aut}(M)$ the group of automorphisms of M. A subgroup Γ of $\operatorname{Aut}(M)$ is called *properly discontinuous* if for any compact subsets K_1, K_2 of M there are only a finite number of elements $\gamma \in \Gamma$ such that $\gamma(K_1) \cap K_2 \neq \emptyset$. Two points z, z' in M are called *equivalent* if $z' = \gamma(z)$ for some $\gamma \in \Gamma$. A set $D \subset M$ is called a fundamental domain of M if any two points of D are not equivalent and any point $z \in M$ has its equivalent in D. In case when M is a bounded domain in \mathbb{C}^n , Γ is properly discontinuous iff there exists one point $a \in M$ such that equivalents of a have no cluster points in M (cf. Chapter 13 in [13]).

Proposition 1. Let Ω be a bounded domain in \mathbb{C}^n and Γ a countable subgroup of $\operatorname{Aut}(\Omega)$. Let τ be a positive continuous function on Ω . Suppose that there exists $a \in \Omega$ such that

$$\sum_{\gamma \in \Gamma} \tau(\gamma(a)) < \infty, \tag{3}$$

then Γ acts properly discontinuously on Ω .

Proof. Suppose Γ is not properly discontinuous, then the orbit Γ_a would have at least one cluster point b. By the continuity of τ there are infinite $\gamma \in \Gamma$ so that

$$\tau(\gamma(a)) \ge \frac{1}{2}\tau(b) > 0,$$

contradicts with (3).

For a bounded domain Ω and a properly discontinuous action Γ on Ω , I do not known whether the limit set L_{Γ} coincides with $\bigcup_{a \in D} C\Gamma_a$ (D: a fundamental domain), except for the following special case. For a point $p \in \partial \Omega$, if there is a function ψ , continuous on the closure of Ω , plurisubharmonic in Ω , such that $\psi(p) = 0$ and $\psi(z) < 0$ for every $z \in \overline{\Omega} \setminus \{p\}$, then we call ψ a barrier at p. A domain Ω is called B-regular if there exists a barrier at every boundary point.

Proposition 2. Let Ω be a bounded B-regular domain in \mathbb{C}^n and Γ a properly discontinuous action on Ω . Then $C\Gamma_a = L_{\Gamma}$ for all $a \in D$.

Proof. Clearly, $C\Gamma_a \subset L_{\Gamma}$ for every $a \in D$. On the other hand, for every $p \in L_{\Gamma}$ there is a compact subset K of Ω , $\{\gamma_k\} \subset \Gamma$ and $\Omega \ni p_k \to p$ satisfying $\gamma_k(p_k) \in K$. Since Ω is bounded, $\{\gamma_k^{-1}\}$ is equicontinuous on every compact subset of Ω so that it has at least one limit point, say β . After taking a subsequence, we may assume $\beta(\gamma_k(p_k)) \to p$ as $k \to \infty$.

Let ψ be a barrier at p and put $\varphi = \psi \circ \beta$. Then φ is plurisubharmonic in Ω , $\varphi \leq 0$ on Ω and

$$\max_{K} \varphi = 0.$$

By the maximum principle, $\varphi = 0$ on Ω , this means $\gamma_k^{-1}(a) \to p$ for every $a \in D$ so that $p \in C\Gamma_a$.

Proposition 3. Let Ω be a bounded domain which has a barrier at some $p \in \partial \Omega$. Let $q \in \Omega$ and $\{\gamma_k\} \subset \operatorname{Aut}(\Omega)$ satisfying $\gamma_k(q) \to p$ as $k \to \infty$. Then $\gamma_k(z) \to p$ uniformly on compact subsets of Ω .

Proof. The argument is quite similar as above. Let γ be any limit point of $\{\gamma_k\}$ in the topology of uniform convergence on compact subsets of Ω . Then $\gamma(q) = p$. Put $\varphi = \psi \circ \gamma$ (ψ : the barrier at p). Similarly, we have $\varphi = 0$ on Ω , i.e., $\gamma(z) = p$ for all $z \in \Omega$. This means that the point p is the only limit of $\{\gamma_k(z)\}$ for every z.

3 Proof of Theorem 1

3.1. Fix $a \in \mathbf{B}$. Let $P_0 = 0$ and

$$P_a(z) = \frac{\langle z, a \rangle}{|a|^2} a$$
 if $a \neq 0$, $Q_a = I - P_a$

where $\langle z, w \rangle = \sum_{j=1}^n z_j \bar{w}_j$. Put $s_a = (1 - |a|^2)^{1/2}$ and define

$$\varphi_a(z) = \frac{a - P_a(z) - s_a Q_a(z)}{1 - \langle z, a \rangle}.$$

We have the following well-known properties: $\varphi_a(0) = a$, $\varphi_a(a) = 0$ and the identity

$$1 - |\varphi_a(z)|^2 = \frac{(1 - |a|^2)(1 - |z|^2)}{|1 - \langle z, a \rangle|^2}$$
(4)

holds for every $z \in \overline{\mathbf{B}}$ (cf. Chapter 2 of [12]). Let $\mathrm{U}(n)$ denote the group of the unitary transformations in \mathbf{C}^n . Then

$$\operatorname{Aut}(\mathbf{B}) = \{ U\varphi_a : a \in \mathbf{B}, \ U \in \operatorname{U}(n) \}.$$

3.2. Let Γ be a properly discontinuous group of $\operatorname{Aut}(\mathbf{B})$ and let $a_{\gamma} = \gamma(a)$, $z_{\gamma} = \gamma(0)$. Recall that the Bergman distance from 0 to a point $z \in \mathbf{B}$ is given by

$$d_{\mathbf{B}}(0,z) = \frac{\sqrt{n+1}}{2} \log \frac{1+|z|}{1-|z|}.$$

Since $d_{\mathbf{B}}(a,0) = d_{\mathbf{B}}(a_{\gamma}, z_{\gamma}) = d_{\mathbf{B}}(a_{\gamma}, \varphi_{z_{\gamma}}^{-1}(0)) = d_{\mathbf{B}}(\varphi_{z_{\gamma}}(a_{\gamma}), 0)$, we infer from (4) that

$$1 - |a|^2 = 1 - |\varphi_{z_{\gamma}}(a_{\gamma})|^2 = \frac{(1 - |z_{\gamma}|^2)(1 - |a_{\gamma}|^2)}{|1 - \langle a_{\gamma}, z_{\gamma} \rangle|^2}$$

$$\leq 4 \min \left\{ \frac{(1 - |a_{\gamma}|)(1 - |z_{\gamma}|)}{(1 - |z_{\gamma}|)^2}, \frac{(1 - |a_{\gamma}|)(1 - |z_{\gamma}|)}{(1 - |a_{\gamma}|)^2} \right\},$$

so that

$$\frac{(1-|a|^2)(1-|z_{\gamma}|)}{4} \le 1-|a_{\gamma}| \le \frac{4(1-|z_{\gamma}|)}{1-|a|^2}.$$

From this we conclude that if condition (1) holds at one point in **B** then it holds for every point in **B**.

3.3. Put

$$u(z) = \sum_{\gamma \in \Gamma} (|\gamma^{-1}(z)|^2 - 1), \quad z \in \mathbf{B}.$$

Clearly, we have $u(\gamma(z)) = u(z)$ for every $\gamma \in \Gamma$. Fix 0 < r < 1 and a point $z \in \mathbf{B}$ with $|z| \le r$. Put $z_{\gamma} = \gamma(0)$. By 3.2, we may assume

$$\sum_{\gamma \in \Gamma} (1 - |z_{\gamma}|) < \infty.$$

Similar as above, since $d_{\mathbf{B}}(\gamma^{-1}(z),0) = d_{\mathbf{B}}(z,z_{\gamma}) = d_{\mathbf{B}}(\varphi_{z_{\gamma}}(z),0)$, we have

$$1 - |\gamma^{-1}(z)|^2 = 1 - |\varphi_{z_{\gamma}}(z)|^2 = \frac{(1 - |z_{\gamma}|^2)(1 - |z|^2)}{|1 - \langle z, z_{\gamma} \rangle|^2} \le \frac{4(1 - |z_{\gamma}|)}{1 - |z|},$$

which implies the convergence of u. Consequently, u descends to a negative C^{∞} strictly plurisubharmonic function on \mathbf{B}/Γ .

3.4. Steiness. We need the following two important results:

Ellencwajg Theorem. (cf. [5]) If X is a complex manifold admitting a continuous strictly plurisubharmonic function, then every locally Stein relatively compact open sets Ω is Stein.

Docquier-Grauert Theorem. (cf. [4]) The union of an increasing 1-parameter family of Stein manifolds is Stein.

Put $\mathbf{B}_t = \{z \in \mathbf{C}^n : |z| < t\}$, 0 < t < 1. Then $\mathbf{B} = \bigcup_{t \in (0,1)} \mathbf{B}_t$. Let $\pi : \mathbf{B} \to \mathbf{B}/\Gamma$ be the canonical projection and define $V_t = \pi(\mathbf{B}_t)$. Clearly, we have $\mathbf{B}/\Gamma = \bigcup_{t \in (0,1)} V_t$ with $V_t \subset V_{t'}$ for arbitrary t < t'. Since π is an open mapping, V_t is open and relatively compact in \mathbf{B}/Γ . Note that V_t is locally Stein since π is locally biholomorphic, hence is Stein according to the Ellencwajg Theorem since there is a C^{∞} strictly plurisubharmonic function on \mathbf{B}/Γ . It follows immediately from the Docquier-Grauert Theorem that \mathbf{B}/Γ is Stein.

3.5. Finally, let us remark the following classical fact: every free, properly discontinuous action Γ of **B** satisfies

$$\sum_{\gamma \in \Gamma} (1 - |\gamma(z)|)^s < \infty$$

for all s > n and $z \in \mathbf{B}$; in case when \mathbf{B}/Γ is compact or of finite volume w.r.t. the Bergman metric, one has

$$\sum_{\gamma \in \Gamma} (1 - |\gamma(z)|)^n = \infty, \quad z \in \mathbf{B}$$

(compare Theorem XI. 8, 10 in [15]). It would be interesting to know how the convergence of the above Poincaré series infects the function theory of \mathbf{B}/Γ for 1 < s < n.

4 Cyclic actions on B

In this section we will check condition (1) for a large class of cyclic, properly discontinuous actions on the unit ball in \mathbb{C}^n .

(i) n > 1. Consider the Cayley transform

$$\Phi: \mathbf{B} \to \mathbf{H} := \{ z \in \mathbf{C}^n : \operatorname{Im} z^1 > |z'|^2 \}$$

$$z \rightarrow \left(i\frac{1+z^1}{1-z^1}, \frac{iz'}{1-z^1}\right)$$

where $z'=(z^2,\cdots,z^n)$ and $|z'|^2=|z^2|^2+\cdots+|z^n|^2$. Clearly, we have

$$\Phi^{-1}(z) = \left(\frac{z^1 - i}{z^1 + i}, \frac{2z'}{z^1 + i}\right).$$

Note that \mathbf{H} has a transitive group of automorphisms generated by the following two type of transforms:

- 1) $z \to (z^1 + c + 2i\langle z', a'\rangle + i|a'|^2, z' + a')$, where $c \in \mathbf{R}$ and $a' \in \mathbf{C}^{n-1}$;
- 2) $z \to (r^2 z^1, rz'U')$ where $0 < r < \infty$ and $U' \in U(n-1)$.

A general element can be written as

$$\tilde{\gamma}(z) = (r^2 z^1 + c + 2i\langle rz'U', a'\rangle + i|a'|^2, rz'U' + a').$$

Consider the cyclic group $\Gamma = \{ \gamma^k : k \in \mathbf{Z} \}$ where $\gamma = \Phi^{-1} \circ \tilde{\gamma} \circ \Phi$.

 $(i)_1$: $r \neq 1$. In this case, we claim firstly that a' = 0. Here we only deal with the case r < 1 (the case r > 1 is similar). Simple computations show that

$$\tilde{\gamma}^k(i,0') \to ((1-r^2)^{-1}[c+i|a'|^2 + 2i\langle a'(I-rU')^{-1},a'\rangle], a'(I-rU')^{-1}), \quad (k \to +\infty).$$

If $a' \neq 0$, we would have

$$\operatorname{Im}\left\{\frac{1}{1-r^{2}}[c+i|a'|^{2}+2i\langle a'(I-rU')^{-1},a'\rangle]\right\} - |a'(I-rU')^{-1}|^{2}$$

$$= \frac{1}{1-r^{2}}[|b'(I-rU')|^{2}+2\operatorname{Re}\langle b',b'(I-rU')\rangle - (1-r^{2})|b'|^{2}] \quad (b':=a'(I-rU')^{-1})$$

$$= \frac{1}{1-r^{2}}[(2+2r^{2})|b'|^{2}-4\operatorname{Re}\langle b',b'rU'\rangle]$$

$$\geq \frac{2(1-r)}{1+r}|b'|^{2}>0,$$

thanks to Schwarz's inequality. But this means that $\{\gamma_k(0)\}$ has a cluster point in **B**, absurd. Hence we are forced to have

$$\tilde{\gamma}(z) = (r^2 z^1 + c, rz'U')$$

and $\tilde{\gamma}^k(i,0') = (r^{2k}i + c(1-r^{2k})(1-r^2)^{-1},0')$. Hence

$$\begin{split} &\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|) \leq \sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) \\ &= \sum_{k \in \mathbf{Z}} \left(1 - \left| \frac{(r^{2k} - 1)i + c(1 - r^{2k})(1 - r^2)^{-1}}{(r^{2k} + 1)i + c(1 - r^{2k})(1 - r^2)^{-1}} \right|^2 \right) \\ &= \sum_{k \in \mathbf{Z}} \frac{4r^{2k}}{|(r^{2k} + 1)i + c(1 - r^{2k})(1 - r^2)^{-1}|^2} < \infty. \end{split}$$

 $(i)_2: r=1$. In this case, we have

$$\tilde{\gamma}(z) = (z^1 + c + 2i\langle z'U', a'\rangle + i|a'|^2, z'U' + a').$$

A direct computation yields

$$\tilde{\gamma}^k(i,0') = (i + k(c + i|a'|^2) + 2i\langle a'T_k, a'\rangle, a'S_k)$$

where $S_k = I' + U' + \cdots + U'^{k-1}$ and $T_k = (S_1 + \cdots + S_{k-1})U'$ (I': the identity matrix in \mathbb{C}^{n-1}). Hence

$$\gamma^{k}(0) = \left(\frac{kc + ik|a'|^{2} + 2i\langle a'T_{k}, a'\rangle}{kc + i[2 + k|a'|^{2}] + 2i\langle a'T_{k}, a'\rangle}, \frac{2a'S_{k}}{kc + i[2 + k|a'|^{2}] + 2i\langle a'T_{k}, a'\rangle}\right)$$

 $(i)_{21}: a'=0'$. As Γ acts properly discontinuously, we conclude that $c\neq 0$. Therefore,

$$\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) = \sum_{k \in \mathbf{Z}} \left(1 - \frac{k^2 c^2}{|kc + 2i|^2} \right) = \sum_{k \in \mathbf{Z}} \frac{4}{k^2 c^2 + 4} < \infty.$$

 $(i)_{22}: a' \neq 0'$ and U' = I'. Then we have

$$\gamma^k(0) = \left(\frac{kc + ik^2|a'|^2}{kc + i[2 + k^2|a'|^2]}, \frac{2ka'}{kc + i[2 + k^2|a'|^2]}\right).$$

It is easy to verify

$$\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) < \infty.$$

 $(i)_{23}: I'-U'$ is invertible and $\langle a'(I'-U')^{-1}U', a'\rangle \neq -|a'|^2/2$. Simple computations show

$$S_k = (I' - U'^k)(I' - U')^{-1}, \quad T_k = (kI' - S_k)(I' - U')^{-1}U'.$$

Hence we may write

$$\gamma^k(0) = \left(\frac{kc + \lambda k + \mu_k}{kc + 2i + \lambda k + \mu_k}, \frac{2a'S_k}{kc + 2i + \lambda k + \mu_k}\right)$$

where $\lambda \in \mathbf{C}$ with $\operatorname{Im} \lambda > 0$ and μ_k are uniformly bounded. Thus

$$\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) = \sum_{k \in \mathbf{Z}} \frac{4k \operatorname{Im} \lambda + O(1)}{k^2 |c + \lambda|^2 + O(k)} = \infty.$$

 $(i)_{24}: a' \neq 0', I' - U'$ is invertible and $\langle a'(I' - U')^{-1}U', a' \rangle = -|a'|^2/2$. In this case, the convergence property holds by a similar argument as $(i)_{21}$.

Generally, one can choose suitable unitary matrix $T \in U(n-1)$ so that $TU'T^{-1}$ becomes a diagonal unitary matrix of form $[I_{m-1}, U_{n-m}]$ where all diagonal elements of U_{n-m} are not 1. Replacing a' by a'T, it suffices to consider the case $U = [I_{m-1}, U_{n-m}]$. Thus we can reduce the argument to the above cases.

Example. In case n=2, Γ does not satisfy condition (1) when

$$\tilde{\gamma}(z^1, z^2) = (z^1 + c + 2ie^{i\theta}z^2\bar{a}, e^{i\theta}z^2 + a)$$

where $c \in \mathbf{R}$, $\theta \neq \pi$ and a non-zero complex number.

(ii): n=1. We have the following

Proposition 4. Every cyclic, properly discontinuous action on the unit disc Δ satisfies condition (1).

Proof. Let us collect some basic facts about the Möbius group (see eg. $\S 3.1$ in [16]). First of all, we recall

$$\operatorname{Aut}(\Delta) = \left\{ \gamma(z) = \frac{\bar{p}z + \bar{q}}{qz + p} : |p|^2 - |q|^2 = 1 \right\}.$$

If $\gamma \in \operatorname{Aut}(\Delta)$ is not the identity, then it is called elliptic, parabolic or hyperbolic according as $(p + \bar{p})^2 < 4$, = 4, > 4, and the eigenvalues of the coefficient matrix

$$A = \left(\begin{array}{cc} \bar{p} & \bar{q} \\ q & p \end{array}\right)$$

are two distinct conjugate complex numbers, two equal real numbers or two distinct real numbers respectively. In the first case the fixed points are connected by a reflection in the unit circle, and in the other two cases the fixed points lie on the unit circle. Since $\Gamma = \{\gamma^k : k \in \mathbf{Z}\}$ acts properly discontinuously, we must rule out the elliptic case.

 $(ii)_1$: Hyperbolic case. Let ρ_1, ρ_2 be the fixed points of γ and λ_1, λ_2 the (real) eigenvalues of the associated coefficient matrix A. If we introduce the matrix

$$B = \left(\begin{array}{cc} 1 & -\rho_1 \\ 1 & -\rho_2 \end{array}\right),$$

then using an appropriate scalar factor α , we will obtain the relation

$$B^{-1} \left(\begin{array}{cc} \mu & 0 \\ 0 & 1 \end{array} \right) B = \alpha A$$

where $\mu = \lambda_1/\lambda_2 > 0$. Simple computations show

$$\alpha^k A^k = B^{-1} \left(\begin{array}{cc} \mu^k & 0 \\ 0 & 1 \end{array} \right) B = \frac{1}{\rho_1 - \rho_2} \left(\begin{array}{cc} -\rho_2 \mu^k + \rho_1 & \rho_1 \rho_2 (\mu^k - 1) \\ 1 - \mu^k & \rho_1 \mu^k - \rho_2 \end{array} \right),$$

so that

$$\gamma^k(0) = \frac{\rho_1 \rho_2(\mu^k - 1)}{\rho_1 \mu^k - \rho_2}.$$

Since ρ_1, ρ_2 lie on the unit circle, we have

$$\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) = \sum_{k \in \mathbf{Z}} \left(1 - \left| \frac{1 - \mu^k}{\mu^k - \bar{\rho}_1 \rho_2} \right|^2 \right) = \sum_{k \in \mathbf{Z}} \frac{2(1 - \operatorname{Re}(\bar{\rho}_1 \rho_2))\mu^k}{|\mu^k - \bar{\rho}_1 \rho_2|^2} < \infty$$

because $\mu \neq 1$.

 $(ii)_2$: Parabolic case. Let λ be the real double eigenvalue of A. We may choose a matrix

$$T = \left(\begin{array}{cc} a & b \\ c & d \end{array}\right) \qquad (ad - bc = 1).$$

such that

$$\lambda^{-1}A = T^{-1} \left(\begin{array}{cc} 1 & \nu \\ 0 & 1 \end{array} \right) T$$

for certain $\nu \neq 0$. By a direct computation, we obtain

$$\lambda^{-k}A^k = T^{-1} \begin{pmatrix} 1 & k\nu \\ 0 & 1 \end{pmatrix} T = \begin{pmatrix} 1 + cd\nu k & d^2\nu k \\ -c^2\nu k & 1 - cd\nu k \end{pmatrix},$$

in particular,

$$\lambda^{-1} \begin{pmatrix} \bar{p} & \bar{q} \\ q & p \end{pmatrix} = \begin{pmatrix} 1 + cd\nu & d^2\nu \\ -c^2\nu & 1 - cd\nu \end{pmatrix}$$

so that

$$\operatorname{Re}(cd\nu) = 0$$
 and $|c| = |d|$.

Clearly, $d \neq 0$. Hence

$$\sum_{k \in \mathbf{Z}} (1 - |\gamma^k(0)|^2) = \sum_{k \in \mathbf{Z}} \left(1 - \left| \frac{d^2 \nu k}{1 - c d \nu k} \right|^2 \right) = \sum_{k \in \mathbf{Z}} \frac{1}{1 + |d|^4 |\nu|^2 k^2} < \infty.$$

5 Proof of Theorem 2

5.1. First of all, we will derive a useful inequality. For two distinct points a, a' in M, we define

$$C_{a,a'} = \frac{\sup\{-g_M(z,a) : z \in \partial D_{a,a'}\}}{\inf\{-g_M(z,a') : z \in \partial D_{a,a'}\}}$$

where $D_{a,a'}$ is a relatively compact open subsets containing a, a'. Consider the plurisubharmonic function on M defined by $g_M(z, a)$ on $D_{a,a'}$ and $\max\{g_M(z, a), C_{a,a'}g_M(z, a')\}$ otherwise. It follows immediately from the definition of g_M that

$$g_M(z,a) \ge C_{a,a'}g_M(z,a')$$
 for $z \in M \setminus D_{a,a'}$. (5)

5.2. Let $a \in M$. As Γ is discrete, we infer from (2), (5) that the inequality

$$\sum_{\gamma \in \Gamma} g_M(\gamma(z), a) > -\infty$$

holds for all $z \in M \backslash \Gamma_a$. Put $u(z) = \sum_{\gamma \in \Gamma} g_M(\gamma(z), a)$. This Γ -invariant function descends to a negative plurisubharmonic function on M/Γ with a logarithmic pole $b := \pi(a)$ where π is the natural projection, we claim that $g_{M/\Gamma}(\cdot, b)$ exists. Since a is arbitrary, we claim that M/Γ is pluricomplex hyperbolic.

5.3. Next, we are going to construct bounded plurisubharmonic functions on M/Γ which is *strictly* plurisubharmonic at one point. For $b \in M/\Gamma$, we may choose a coordinate ball $\mathbf{B}(b,1)$ at b so that the inequalities

$$\log |z - b| + C_1 \le g_{M/\Gamma}(z, b) \le \log |z - b| + C_2$$

hold in a open neighborhood of the closure of $\mathbf{B}(b,1)$. Here $C_1 \leq C_2$ are two constants depending on b but not on z. Take 0 < r < 1 so small that $\log r \leq 2(C_1 - C_2)$. Put

$$v(z) = \begin{cases} \log|z - b| & |z - b| < r \\ \max\{\log|z - b|, 2(g_{M/\Gamma}(z, b) - C_1)\} & r \le |z - b| \le 1 \\ 2(g_{M/\Gamma}(z, b) - C_1) & |z - b| > 1. \end{cases}$$

Note that on the sphere $\{z: |z-b|=1\}$ we have

$$2(g_{M/\Gamma}(z,b) - C_1) \ge 0 = \log|z - b|$$

while

$$2(g_{M/\Gamma}(z,b) - C_1) \le 2(\log|z-b| + C_2 - C_1) \le \log|z-b|$$

holds on the sphere $\{z: |z-b|=r\}$. Thus v is a well-defined plurisubharmonic function on M/Γ and $\rho:=\exp(2v)$ is a bounded plurisubharmonic function on M/Γ satisfying $\rho(z)=|z-b|^2$ on B(b,r).

- 5.4. Steinness. Fix a plurisubharmonic exhaustion function ψ on M. For t > 0 we put $M_t = \{z \in M : \psi(z) < t\}$ and $V_t = \pi(M_t)$. Again every V_t is locally Stein relative compact domain in M/Γ and we have $M/\Gamma = \bigcup_{t>0} V_t$. According to the argument in 3.4, it suffices to show that for every t > 0 there is a continuous strictly plurisubharmonic function in a neighborhood of $\overline{V_t}$. By 5.3, there exists finite coordinate balls $\mathbf{B}(w_i, r_i)$, $i = 1, \dots, m$ and bounded plurisubharmonic functions ρ_i such that $\{\mathbf{B}(w_i, r_i)\}_{1 \leq i \leq m}$ cover $\overline{V_t}$ and equalities $\rho_i(z) = |z w_i|^2$ hold on $\mathbf{B}(w_i, r_i)$. Put $\rho = \rho_1 + \dots + \rho_m$. Then the positive current $\partial \bar{\partial} \rho$ will dominate a continuous positive (1, 1)-form in a neighborhood of $\overline{V_t}$. By a standard regularization of the function ρ , we get a smooth strictly plurisubharmonic function in a neighborhood of $\overline{V_t}$.
 - 5.5. In view of Corollary 2, it is natural to ask the following

Question. For a Stein manifold, is pluricomplex hyperbolicity equivalent to the existence of a negative C^{∞} strictly plurisubharmonic function?

6 Proof of Theorem 3

6.1. Proof of 1). It is easy to construct a negative plurisubharmonic function ρ so that $-\rho \approx \delta_M$. Let R be the diameter of M. Fix $w \in M$ and put

$$C_w = \frac{\sup_{\{\rho(z) = \rho(w)/2\}} |\log |z - w|/R|}{\inf_{\{\rho(z) = \rho(w)/2\}} (-\rho(z))}.$$

We can define a negative plurisubharmonic function on M which equals to $\log |z-w|/R$ for $\rho(z) \leq \rho(a)/2$ and $\max\{\log |z-w|/R, C_w \rho(z)\}$ otherwise. Hence

$$g_M(z, w) \ge C_w \rho(z)$$
 for $\rho(z) > \rho(w)/2$. (6)

Since M is convex, g_M is symmetric (cf. [8]). Thus for $z \in M \backslash \Gamma_a$ we have

$$-\sum_{\gamma\in\Gamma}g_M(\gamma(z),a) = -\sum_{\gamma\in\Gamma}g_M(z,\gamma^{-1}(a)) = -\sum_{\gamma\in\Gamma}g_M(\gamma^{-1}(a),z) < \infty$$

according as (6). Combining with (5), the conclusion follows.

6.2. Proof of 2). Usually one denotes by $\mathcal{T}_{g,n}$ the Teichmüller space of Riemann surfaces with genus g and n punctures. It is a bounded Stein domain in \mathbb{C}^{3g-3+n} . Recently, Krushkal [7] observed that the pluricomplex Green function relates to the Teichmüller distance by

$$g_{\mathcal{T}_{q,n}}(z,w) = \log \tanh d_T(z,w),$$

in particular, $g_{\mathcal{I}_{g,n}}$ is symmetric. Thus for $z \in M - \Gamma_a$ we have

$$\begin{split} &-\sum_{\gamma\in\Gamma}g_{\mathcal{T}_{g,n}}(\gamma(z),a)=-\sum_{\gamma\in\Gamma}g_{\mathcal{T}_{g,n}}(z,\gamma^{-1}(a))=-\sum_{\gamma\in\Gamma}g_{\mathcal{T}_{g,n}}(\gamma^{-1}(a),z)\\ &=\sum_{\gamma\in\Gamma}\log\tanh d_T(\gamma^{-1}(a),z)\leq C_z\sum_{\gamma\in\Gamma}e^{-2d_T(\gamma^{-1}(a),z)}\\ &\leq C_ze^{2d_T(z,a)}\sum_{\gamma\in\Gamma}e^{-2d_T(\gamma^{-1}(a),a)}<\infty. \end{split}$$

By (5), the assertion follows.

7 Proof of Theorem 4

7.1. Proof of Theorem 4. It is well-known that the series

$$\sum_{\gamma \in \Gamma} |j_{\gamma}(z)|^2$$

is always convergent at every $z \in \Omega$, moreover, it is convergent uniformly on compact subsets of Ω (cf. Lemma 5 of Chapter 10 in [13]). Here j_{γ} denotes the Jacobian of γ . On the other hand, from relation

$$K_{\Omega}(z) = K_{\Omega}(\gamma(z))|j_{\gamma}(z)|^2 \tag{7}$$

we conclude that

$$\sum_{\gamma \in \Gamma} \frac{1}{K_{\Omega}(\gamma(z))}$$

is also convergent uniformly on compact subsets of Ω . Suppose $p \in L_{\Gamma}$, namely, there exists a compact subset K, $\{z_k\} \subset \Omega$ with $z_k \to p$ and $\{\gamma_k\} \subset \Gamma$ so that $\gamma_k(z_k) \in K$. Observe that

$$\lim_{k \to \infty} K_{\Omega}(z_k) = \lim_{k \to \infty} K_{\Omega}(\gamma_k^{-1}(\gamma_k(z_k))) = \infty,$$

hence $p \in \Lambda_{\Omega}$. For the second assertion, it suffices to verify that Λ_{Ω} is closed in $\partial \Omega$. Suppose $\Lambda_{\Omega} \ni p_k \to p$. Since for every k there exists a point $z_k \in \Omega$ satisfying $|z_k - p_k| < 1/k$ and $K_{\Omega}(z_k) > k$, we have $p \in \Lambda_{\Omega}$.

7.2. It seems worthwhile to mention the following

Proposition 5. Let Ω be a bounded domain in \mathbb{C}^n and Γ a countable group of automorphisms of Ω . Then Γ is properly discontinuous iff there exists one point $a \in \Omega$ such that

$$\sum_{\gamma \in \Gamma} |j_{\gamma}(a)|^2 < \infty.$$

Proof. It suffices to verify the "if" part. By (7) we have

$$\sum_{\gamma \in \Gamma} \frac{1}{K_{\Omega}(\gamma(a))} < \infty.$$

As $1/K_{\Omega}$ is a positive continuous function, the assertion follows immediately from Proposition 1.

8 Proofs of Theorem 5, 6, 7

8.1. Proof of Theorem 5. By Richberg's theorem [11], we may assume ψ is negative, C^{∞} strictly plurisubharmonic on Ω . Put

$$u(z) = \sup_{\gamma \in \Gamma} \psi(\gamma(z)), \quad z \in \Omega.$$

Clearly, u is Γ -invariant. Since $\psi(z) \to -\infty$ as $z \to \bigcup_{a \in D} C\Gamma_a$, we conclude that for any open subset $W \subset\subset \Omega$ there exists a finite subset Γ' of Γ so that

$$\psi(\gamma(z)) < \max_{\gamma \in \Gamma'} \psi(\gamma(z)), \quad \forall z \in W, \, \gamma \in \Gamma \backslash \Gamma'.$$

Thus $u(z) = \max_{\gamma \in \Gamma'} \psi(\gamma(z))$ for $z \in W$ so that it is continuous and strictly plurisub-harmonic there. As W is arbitrarily chosen, u descends to a negative, continuous and strictly plurisubharmonic function on Ω/Γ . The rest argument is same as 3.4.

8.2. Proof of Theorem 6. It is well-known that elements of $\operatorname{Aut}(\mathbf{B})\setminus\{I\}$ are divided into three classes: those have fixed points in \mathbf{B} are called *elliptic*, those have one or two fixed points on $\partial \mathbf{B}$ and no fixed points in \mathbf{B} are called *parabolic* or *hyperbolic* respectively (see e.g., [12]). Let Γ be an infinite, cyclic, free and properly discontinuous subgroup of $\operatorname{Aut}(\mathbf{B})$ and γ the generator of Γ . Clearly, γ can not be elliptic.

By Proposition 2, it suffices to show that $C\Gamma_0$ contains at most two elements. Let $p \in C\Gamma_0$, namely, there there is a subsequence $\gamma^{k_j}(0) \to p$ as $j \to \infty$. Thus we have

$$\gamma^{k_j+1}(0) = \gamma(\gamma^{k_j}(0)) \to \gamma(p)$$

since γ is continuous on the closure of **B**. On the other hand, Proposition 3 implies

$$\gamma^{k_j+1}(0) = \gamma^{k_j}(\gamma(0)) \to p.$$

Hence $\gamma(p) = p$, i.e., p is a fixed point of γ on $\partial \mathbf{B}$. The proof is complete.

8.3. Proof of Theorem 7. Every element in Aut (Δ^2) is of form

$$\gamma(z^1, z^2) = (\gamma_1(z^1), \gamma_2(z^2))$$
 or $\gamma(z^1, z^2) = (\gamma_2(z^2), \gamma_1(z^1))$

where $\gamma_1, \gamma_2 \in \text{Aut}(\Delta)$. In the first case, we have

$$\gamma^k(z^1, z^2) = (\gamma_1^k(z^1), \gamma_2^k(z^2)).$$

As $\Gamma = \{\gamma^k : k \in \mathbf{Z}\}$ acts properly discontinuously, we conclude that there exists at least one component of γ , say γ_1 , which can not be elliptic. The argument in 8.2 yields

$$C\Gamma_z \subset Fix(\gamma_1) \times \Delta, \quad \forall z \in \Delta^2$$

where $\text{Fix}(\gamma_1)$ is the set of fixed points γ_1 . Hence the condition of Theorem 5 is verified (e.g., take $\psi(z) = \sum_{p \in \text{Fix}(\gamma_1)} \log |z^1 - p|$).

In the second case, we have

$$\gamma^{2m}(z^1, z^2) = ((\gamma_2 \circ \gamma_1)^m(z^1), (\gamma_1 \circ \gamma_2)^m(z^2)).$$

For every $z \in \Delta^2$, the set $C\Gamma_z$ consists of the cluster points of $\{\gamma^{2m}(z) : m \in \mathbf{Z}\}$ and $\{\gamma^{2m+1}(z) : m \in \mathbf{Z}\}$. From relation $\gamma^{2m+1} = \gamma \circ \gamma^{2m}$, we see that that γ maps the set of cluster points of the first to the second. On the other hand, since

$$p \in \operatorname{Fix}(\gamma_2 \circ \gamma_1) \implies \gamma_1(p) \in \operatorname{Fix}(\gamma_1 \circ \gamma_2)$$
$$p \in \operatorname{Fix}(\gamma_1 \circ \gamma_2) \implies \gamma_2(p) \in \operatorname{Fix}(\gamma_2 \circ \gamma_1),$$

neither $\gamma_1 \circ \gamma_2$ nor $\gamma_2 \circ \gamma_1$ is elliptic. The argument in 8.2 shows that the cluster points of $\{\gamma^{2m}(z) : m \in \mathbf{Z}\}$ are contained in $\operatorname{Fix}(\gamma_2 \circ \gamma_1) \times \operatorname{Fix}(\gamma_1 \circ \gamma_2)$ which has at most four elements, hence $\bigcup_{z \in \Delta^2} \operatorname{C}\Gamma_z$ has at most eight elements. The proof is complete.

8.4. Finally, let us present another example. Let

$$\Omega = \{(z^1, z') \in \mathbf{C} \times \mathbf{C}^{n-1} : |z^1|^2 + \psi(z') < 1\}$$

where ψ enjoys the following properties: i) ψ is C^{∞} and plurisubharmonic in \mathbb{C}^{n-1} ; ii) there exist positive numbers α , C so that $\psi(z') \geq C|z'|^{\alpha}$; iii) ψ is weighted homogeneous, i.e., there are positive integers $\tau_1, \tau_2, \cdots, \tau_n$ such that

$$\psi(c^{\tau_2}z^2,\cdots,c^{\tau_n}z^n)=|c|^{\tau_1}\psi(z'),\quad\forall\,c\in\mathbf{C}.$$

Property i), ii) implies that Ω is a bounded Stein domain. Consider the Cayley transformation

$$\Phi: \Omega \to \mathbf{H} := \{ z \in \mathbf{C}^n : \operatorname{Im} z^1 > \psi(z') \}$$
$$z \to \left(i \frac{1+z^1}{1-z^1}, \frac{iz^2}{(1-z^1)^{2\tau_2/\tau_1}}, \cdots, \frac{iz^n}{(1-z^1)^{2\tau_n/\tau_1}} \right).$$

Simple computations show

$$\Phi^{-1}(z) = \left(\frac{z^1 - i}{z^1 + i}, \frac{2^{2\tau_2/\tau_1} i^{2\tau_2/\tau_1 - 1}}{(z^1 + i)^{2\tau_2/\tau_1}} z^2, \dots, \frac{2^{2\tau_n/\tau_1} i^{2\tau_n/\tau_1 - 1}}{(z^1 + i)^{2\tau_n/\tau_1}} z^n\right).$$

Fix a positive number $r \neq 1$. Put

$$\tilde{\gamma}(z) = (r^{\tau_1} z^1, r^{\tau_2} z^2, \cdots, r^{\tau_n} z^n) \in \text{Aut}(\mathbf{H})$$

and $\Gamma = \{\gamma^k : k \in \mathbf{Z}\}$ where $\gamma = \Phi^{-1} \circ \tilde{\gamma} \circ \Phi$. It is not difficult to see $C\Gamma_0 = \{(1,0'),(-1,0')\}$ and the function $|z^1|^2 - 1$ provides a barrier at (1,0') and (-1,0'). Thus Theorem 5 applies.

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