

# Minimality in CR geometry and the CR Yamabe problem on CR manifolds with boundary

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ABSTRACT. We study the minimality of an isometric immersion of a Riemannian manifold into a strictly pseudoconvex CR manifold  $M$  endowed with the Webster metric (associated to a fixed contact form on  $M$ ), hence formulate a version of the CR Yamabe problem for CR manifolds-with-boundary. This is shown to be a nonlinear subelliptic problem of variational origin.

## 1. INTRODUCTION

Minimal surfaces  $N^2$  in the lowest dimensional Heisenberg group  $\mathbb{H}_1$ , or more generally in a 3-dimensional nondegenerate CR manifold, have been recently considered by a number of people (cf. N. Arcozzi & F. Ferrari, [1], I. Birindelli & E. Lanconelli, [6], J-H. Cheng et al., [7], N. Garofalo & S.D. Pauls, [14], and S.D. Pauls, [23]) motivated by the interest in a Heisenberg version of the Bernstein problem, or by anticipating an appropriate formulation of the CR Yamabe problem on a CR manifold-with-boundary and a CR analog to the positive mass theorem. All the notions of minimality dealt with are but ordinary minimality of  $N^2$  with respect to the ambient Webster metric. This is demonstrated by our Theorem 5 (though confined to the case where the characteristic direction  $T = \partial/\partial t$  of  $\mathbb{H}_1$  is tangent to  $N^2$ ). We also study minimality of a given isometric immersion  $\Psi : N^m \rightarrow \mathbb{H}_n$  of a  $m$ -dimensional Riemannian manifold  $(N^m, g)$  into  $(\mathbb{H}_n, g_{\theta_0})$  (the Heisenberg group carrying the Webster metric  $g_{\theta_0}$  associated with the contact form  $\theta_0 = dt + i \sum_{j=1}^n (z_j d\bar{z}^j - \bar{z}_j dz^j)$ ), cf. our Theorem 4. A first step towards a Weierstrass type representation of minimal surfaces in  $\mathbb{H}_n$  is taken in Theorem 7.

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The Yamabe problem on a compact  $n$ -dimensional ( $n \geq 3$ ) Riemannian manifold  $(M, g)$  with boundary  $\partial M$  is to deform conformally the given metric  $\hat{g} = u^{4/(n-2)}g$  ( $u > 0$ ) such that  $(M, \hat{g})$  has constant scalar curvature and  $\partial M$  is minimal in  $(M, \hat{g})$ . This is equivalent to solving the boundary value problem

$$(1) \quad \Delta u - \frac{n-2}{4(n-1)}\rho_g u + C u^{(n+2)/(n-2)} = 0 \text{ in } M,$$

$$(2) \quad \frac{\partial u}{\partial \eta} + \frac{n-2}{2}h_g u = 0 \text{ on } \partial M,$$

where  $\Delta$  and  $\rho_g$  are respectively the Laplace-Beltrami operator and the scalar curvature of  $(M, g)$ ,  $h_g$  is the mean curvature of  $\partial M \hookrightarrow (M, g)$ , and  $\eta$  is a unit outward normal on  $\partial M$  with respect to  $g$ . When  $M$  is closed ( $\partial M = \emptyset$ ) the full solution to (1) is described in [19]. When  $\partial M \neq \emptyset$  the problem (1)-(2) was solved by J.F. Escobar, [10], under the assumptions that 1)  $n \in \{3, 4, 5\}$ , or 2)  $n \geq 3$  and  $\partial M$  has some nonumbilic point, or 3)  $n \geq 6$ ,  $\partial M$  is totally umbilical, and either  $M$  is locally conformally flat or the Weyl tensor doesn't vanish identically on  $\partial M$ . A CR analog of the Yamabe problem was formulated by D. Jerison & J.M. Lee, [15], though only on closed CR manifolds. Precisely, if  $M$  is a  $(2n+1)$ -dimensional closed strictly pseudoconvex CR manifold on which a contact form  $\theta$  has been fixed then the CR Yamabe problem is to look for a contact form  $\hat{\theta} = u^{p-2}\theta$  ( $p = 2 + 2/n$ ) such that the Tanaka-Webster connection of  $(M, \hat{\theta})$  has constant pseudohermitian scalar curvature  $\hat{\rho} = \lambda$ . This is equivalent to solving

$$(3) \quad -(2 + 2/n) \Delta_b u + \rho u = \lambda u^{p-1}$$

(the *CR Yamabe equation*) where  $\Delta_b$  and  $\rho$  are respectively the sublaplacian<sup>1</sup> and the pseudohermitian scalar curvature of  $(M, \theta)$ . D. Jerison & J.M. Lee solved (cf. [16]-[17]) the problem (3) under the assumption that<sup>2</sup>  $\lambda(M) < \lambda(S^{2n+1})$ , where  $\lambda(M)$  is the CR invariant

$$\inf \left\{ \int_M (b_n \|\pi_H \nabla u\|^2 + \rho u^2) \theta \wedge (d\theta)^n : \int_M |u|^p \theta \wedge (d\theta)^n = 1 \right\}.$$

Moreover, the inequality  $\lambda(M) \leq \lambda(S^{2n+1})$  holds true. The remaining case  $\lambda(M) = \lambda(S^{2n+1})$  was settled by N. Gamara & R. Yacoub, [12]. It is noteworthy that the proof in [12] doesn't rely on a CR analog to the positive mass theorem, but rather on techniques within the theory of

<sup>1</sup>As to the sign convention the sublaplacian in [16] is  $-\Delta_b$ .

<sup>2</sup>If  $n \geq 2$  and  $M$  is not locally CR equivalent to  $S^{2n+1}$  then  $\lambda(M) < \lambda(S^{2n+1})$ , cf. [16].

critical points at infinity (by analogy with A. Bahri & H. Brezis, [2]). When  $\partial M \neq \emptyset$  no formulation of the CR Yamabe problem is available as yet, perhaps due to the previous lack of a natural CR analog to minimality.

Our approach (as well as in [16]) is to formulate the CR Yamabe problem as the Yamabe problem for the Fefferman metric  $F_\theta$ , a Lorentz metric on the total space  $C(M)$  of the canonical circle bundle  $S^1 \rightarrow C(M) \xrightarrow{\pi} M$  (cf. [18]). That is, to look for a positive function  $u \in C^\infty(M)$  such that the Fefferman metric  $F_{\hat{\theta}}$  corresponding to the contact form  $\hat{\theta} = u^{p-2}\theta$  has constant scalar curvature. What is the appropriate boundary condition?

When  $\partial M$  is nonempty  $C(M)$  is a manifold-with-boundary as well, and (by Theorem 1) the tangent space  $T_z(\partial C(M))$  is nondegenerate in  $(T_z(C(M)), F_{\theta,z})$  at all points  $z$ , except for those projecting on  $\text{Sing}(T^T)$ , the singular points of the tangential component (with respect to  $\partial M$ ) of the characteristic direction  $T$  of  $d\theta$ . It also turns out that  $\partial C(M) \setminus \pi^{-1}(\text{Sing}(T^T))$  is a Lorentz manifold (with the metric induced by  $F_\theta$ ). Therefore, when  $\text{Sing}(T^T) = \emptyset$  we may request that  $\partial C(M)$  be minimal in  $(C(M), F_\theta)$ . By Theorem 2 this projects to the natural boundary condition (46) on  $\partial M$ , thus leading to the *CR Yamabe problem* (45)-(46) on a CR manifold-with-boundary. This is shown (cf. Theorem 6) to be a nonlinear subelliptic problem of variational origin.

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## 2. CR MANIFOLDS WITH BOUNDARY

Let  $M$  be an oriented  $m$ -dimensional  $C^\infty$  manifold-with-boundary  $\partial M$ . A *CR structure* is a complex subbundle  $T_{1,0}(M)$  of the complexified tangent bundle  $T(M) \otimes \mathbb{C}$ , of complex rank  $n$  ( $0 < n \leq [m/2]$ ), such that

$$T_{1,0}(M) \cap T_{0,1}(M) = (0),$$

$$Z, W \in \Gamma^\infty(T_{1,0}(M)) \implies [Z, W] \in \Gamma^\infty(T_{1,0}(M)).$$

Here  $T_{0,1}(M) = \overline{T_{1,0}(M)}$  (complex conjugation). The pair  $(M, T_{1,0}(M))$  is a *CR manifold* (with boundary) and the integer  $n$  is its *CR dimension*. Also  $k = m - 2n$  is its *CR codimension* and the pair  $(n, k)$  is its *type*.

There is a natural first order differential operator  $\bar{\partial}_b$  (the *tangential Cauchy-Riemann operator*) given by  $(\bar{\partial}_b u)\bar{Z} = \bar{Z}(u)$ , for any  $C^1$  function  $u : M \rightarrow \mathbb{C}$  and any  $Z \in T_{1,0}(M)$ . Then  $\bar{\partial}_b u = 0$  are the *tangential Cauchy-Riemann equations*. A solution to the tangential Cauchy-Riemann equations is a *CR function* on  $M$ . Let  $\text{CR}^r(M)$  denote the space of all CR functions on  $M$  of class  $C^r$ .

The boundary  $\partial M$  is *noncharacteristic* for  $T_{1,0}(M)$  if for any local frame  $\{T_\alpha : 1 \leq \alpha \leq n\}$  of  $T_{1,0}(M)$  defined on the open subset  $U \subseteq M$  one has  $T_\alpha \notin T(\partial M) \otimes \mathbb{C}$  (i.e.  $T_{\alpha,x} \notin T_x(\partial M) \otimes \mathbb{C}$ , for some  $x \in U \cap \partial M$ ) for some  $1 \leq \alpha \leq n$ .

The *Levi distribution* of the CR manifold  $(M, T_{1,0}(M))$  is

$$H(M) = \text{Re}\{T_{1,0}(M) \oplus T_{0,1}(M)\}.$$

It carries the complex structure

$$J : H(M) \rightarrow H(M), \quad J(Z + \bar{Z}) = i(Z - \bar{Z}), \quad Z \in T_{1,0}(M).$$

Assume from now on that  $M$  is a CR manifold of type  $(n, 1)$  (of *hypersurface type*).  $H(M)$  is oriented by  $J$ , hence the conormal bundle

$$H(M)_x^\perp = \{\omega \in T_x^*(M) : \text{Ker}(\omega) \supseteq H(M)_x\}, \quad x \in M,$$

is an oriented real line bundle, hence trivial. Let then  $\theta$  be a global nowhere vanishing section in  $H(M)^\perp$  (a *pseudohermitian structure* on  $M$ ). The *Levi form* is

$$L_\theta(Z, \bar{W}) = -i(d\theta)(Z, \bar{W}), \quad Z, W \in T_{1,0}(M),$$

and  $M$  is *nondegenerate* (respectively *strictly pseudoconvex*) if  $L_\theta$  is nondegenerate (respectively positive definite) for some  $\theta$ . Also  $M$  is *Levi flat* if  $L_\theta = 0$  (equivalently, if  $H(M)$  is integrable). An alternative definition of the Levi form is

$$G_\theta(X, Y) = (d\theta)(X, JY), \quad X, Y \in H(M).$$

Note that  $L_\theta$  and the  $\mathbb{C}$ -linear extension of  $G_\theta$  coincide on  $T_{1,0}(M) \otimes T_{0,1}(M)$ . If  $M$  is nondegenerate then any pseudohermitian structure  $\theta$  is a *contact form*, i.e.  $\theta \wedge (d\theta)^n$  is a volume form on  $M$ . Let  $M$  be a nondegenerate CR manifold and  $\theta$  a fixed contact form (the pair  $(M, \theta)$  is commonly referred to as a *pseudohermitian manifold*). There is a unique vector field  $T$  on  $M$  such that  $\theta(T) = 1$  and  $(d\theta)(T, X) = 0$ , for

any  $X \in T(M)$  ( $T$  is the *characteristic direction* of  $d\theta$ ). The *Webster metric* of  $(M, \theta)$  is given by

$$g_\theta(X, Y) = G_\theta(X, JY), \quad g_\theta(X, T) = 0, \quad g_\theta(T, T) = 1,$$

for any  $X, Y \in H(M)$ .  $g_\theta$  is a semi-Riemannian (Riemannian, if  $M$  is strictly pseudoconvex and  $L_\theta$  is positive definite) metric on  $M$ .

**Proposition 1.** *Let  $M$  be a nondegenerate CR manifold-with-boundary. Then the boundary  $\partial M$  is noncharacteristic for  $T_{1,0}(M)$ .*

The proof is by contradiction. Assume that there is a local frame  $\{T_\alpha\}$  of  $T_{1,0}(M)$  on  $U \subseteq M$  such that  $T_\alpha \in T(\partial M) \otimes \mathbb{C}$ , for all  $1 \leq \alpha \leq n$ . Then  $T_{1,0}(M)_x \subset T_x(\partial M) \otimes \mathbb{C}$  for any  $x \in U \cap \partial M$ . Then, by taking complex conjugates,  $T_{0,1}(M)_x \subset T_x(\partial M) \otimes \mathbb{C}$  hence, by looking at dimensions,  $H(M)_x = T_x(\partial M)$ , i.e.  $L_{\theta,x} = 0$ , a contradiction.  $\square$

From now on we assume that  $M$  is nondegenerate. For each boundary point  $x \in \partial M$  we set

$$T_{1,0}(\partial M)_x = T_{1,0}(M)_x \cap [T_x(\partial M) \otimes \mathbb{C}].$$

Let  $\{T_\alpha : 1 \leq \alpha \leq n\}$  be a local frame of  $T_{1,0}(M)$ , defined on the local coordinate neighborhood  $(U, \varphi = (x^1, \dots, x^{2n+1}))$ .  $U \cap \partial M$  consists of the points  $x \in U$  such that  $\varphi(x) \in \partial \mathbb{R}_+^{2n+1} = \mathbb{R}^{2n} \times \{0\}$ . We may write  $T_\alpha = f_\alpha^A \partial / \partial x^A$ , for some  $C^\infty$  functions  $f_\alpha^A : U \rightarrow \mathbb{C}$ . By Proposition 1 there is  $\alpha$ , say  $\alpha = n$ , such that  $T_\alpha \notin T(\partial M) \otimes \mathbb{C}$ . Then  $f_n^{2n+1}(x_0) \neq 0$  for some  $x_0 \in U \cap \partial M$ , and then  $f_n^{2n+1} \neq 0$  on a whole neighborhood of  $x_0$ , which we may denote again by  $U$ . Then

$$\{T_j - (\lambda_j^{2n+1} / \lambda_n^{2n+1}) T_n : 1 \leq j \leq n-1\}$$

is a local frame of  $T_{1,0}(\partial M)$  on  $U \cap \partial M$ , hence  $T_{1,0}(\partial M)$  has rank  $n-1$ . We got

**Proposition 2.** *Let  $M$  be a nondegenerate CR manifold-with-boundary, of CR dimension  $n$ . Then its boundary  $\partial M$  is a CR manifold of type  $(n-1, 2)$ , i.e.  $T_{1,0}(\partial M) = T_{1,0}(M) \cap [T(\partial M) \otimes \mathbb{C}]$  is a CR structure of CR codimension 2.*

Let us look at a few examples. For instance, let  $\mathbb{H}_n = \mathbb{C}^n \times \mathbb{R}$  be the Heisenberg group, with the CR structure spanned by

$$Z_j = \frac{\partial}{\partial z_j} + i \bar{z}_j \frac{\partial}{\partial t}, \quad 1 \leq j \leq n,$$

(if  $n = 1$  then  $\bar{Z}_1$  is the *Lewy operator*, cf. [20]).  $\mathbb{H}_n$  is a Lie group with the group law

$$(z, t) \cdot (w, s) = (z + w, t + s + 2 \operatorname{Im}(z \cdot \bar{w})),$$

for  $(z, t), (w, t) \in \mathbb{H}_n$ , where  $z \cdot \bar{w} = \delta_{jk} z^j \bar{w}^k$  (with the convention  $z^j = z_j$ ), and  $Z_j$  are left invariant.

**Example 1.**  $\mathbb{H}_n^+ = \{(z, t) \in \mathbb{H}_n : t \geq 0\}$  is a CR manifold-with-boundary  $\partial\mathbb{H}_n^+ = \mathbb{C}^n \times \{0\}$ . Let  $U = \{(z, t) \in \mathbb{H}_n^+ : z_n \neq 0\}$ . Then

$$(4) \quad \left\{ \frac{\partial}{\partial z^a} - \frac{\bar{z}_a}{\bar{z}_n} \frac{\partial}{\partial z_n} : 1 \leq a \leq n-1 \right\}$$

is a local frame of  $T_{1,0}(\partial\mathbb{H}_n^+)$  on  $U \cap \partial\mathbb{H}_n^+$ . In particular, the tangential Cauchy-Riemann equations on  $\partial\mathbb{H}_n^+$  are

$$z_n \frac{\partial u}{\partial \bar{z}_a} - z_a \frac{\partial u}{\partial \bar{z}_n} = 0, \quad 1 \leq a \leq n-1.$$

□

The *Heisenberg norm* is  $|x| = (|z|^4 + t^2)^{1/4}$ , for any  $x = (z, t) \in \mathbb{H}_n$ , where  $|z|^2 = z \cdot \bar{z}$ .

**Example 2.**  $\Omega_r = \{x \in \mathbb{H}_n : |x| \leq r\}$  ( $r > 0$ ) is a CR manifold-with-boundary  $\partial\Omega_r = \Sigma_r = \{x \in \mathbb{H}_n : |x| = r\}$  (the *Heisenberg sphere*, cf. [13]). Let us set  $\phi(z, t) = |z|^2 - i t$ . Note that  $\bar{\partial}_b \phi = 0$ , i.e.  $\phi \in \text{CR}^\infty(\mathbb{H}_n)$ . Taking into account that

$$Z_j(|x|) = \frac{\bar{\phi}}{2|x|^3} \bar{z}_j, \quad 1 \leq j \leq n,$$

it follows that (4) is a local frame of  $T_{1,0}(\Sigma_r)$  on  $\Sigma_r \cap \{z \in \mathbb{H}_n : z_n \neq 0\}$ . The *Folland-Stein operators* are

$$(5) \quad \mathcal{L}_\alpha = -\frac{1}{2} \sum_{j=1}^n (Z_j \bar{Z}_j + \bar{Z}_j Z_j) + i \alpha T, \quad \alpha \in \mathbb{C},$$

where  $T = \partial/\partial t$ . Let us consider the function

$$\varphi_\alpha(z, t) = \phi(z, t)^{-(n+\alpha)/2} \overline{\phi(z, t)}^{-(n-\alpha)/2},$$

and the constant  $c_\alpha = 2^{2-2n} \pi^{n+1} / (\Gamma(\frac{n+\alpha}{2}) \Gamma(\frac{n-\alpha}{2}))$ .  $\alpha \in \mathbb{C}$  is *admissible* if  $c_\alpha \neq 0$  (equivalently if  $\pm\alpha \in \{n, n+2, n+4, \dots\}$ ). The Folland-Stein operators (5) form a family of operators of the form  $A + \alpha B$  (where  $A$  is a second order hypoelliptic operator and  $B$  is a first order operator) which are hypoelliptic for any admissible  $\alpha$  (cf. [11], p. 444). This is by now classical, and as well known the key ingredient in the proof is to build a fundamental solution to (5) i.e. to show that  $\mathcal{L}_\alpha(\varphi_\alpha/c_\alpha) = \delta$ , for any admissible  $\alpha$ . It is noteworthy that the

Heisenberg spheres  $\Sigma_r$  are the level sets of

$$\varphi_0(z, t) = |\phi(z, t)|^{-n} = (|z|^4 + t^2)^{-n/2}.$$

Let  $\theta_0$  be the canonical pseudohermitian structure on  $\mathbb{H}_n$  i.e.

$$\theta_0 = dt + i \sum_{j=1}^n (z_j d\bar{z}^j - \bar{z}_j dz^j).$$

$\mathbb{H}_n$  is strictly pseudoconvex and  $L_{\theta_0}$  is positive definite. Moreover, the Webster metric of  $(\mathbb{H}_n, \theta_0)$  is expressed by

$$g_{\theta_0}(X_j, X_k) = g_{\theta_0}(Y_j, Y_k) = \delta_{jk}, \quad g_{\theta_0}(X_j, Y_k) = 0,$$

$$g_{\theta_0}(X_j, T) = g_{\theta_0}(Y_j, T) = 0, \quad g_{\theta_0}(T, T) = 1,$$

where

$$X_j = \frac{1}{\sqrt{2}}(Z_j + \bar{Z}_j), \quad Y_j = \frac{i}{\sqrt{2}}(Z_j - \bar{Z}_j).$$

**Proposition 3.** *The Heisenberg spheres form a foliation of  $(\mathbb{H}_n, g_{\theta_0})$  whose normal bundle is the span of*

$$(6) \quad V = T + (\phi/t)z^j Z_j + (\bar{\phi}/t)\bar{z}^j \bar{Z}_j.$$

Then perhaps (6) is the Heisenberg analog to the radial vector field in  $\mathbb{R}^{2n+1}$  (see [13], p. 331-332).

*Proof of Proposition 3.* Let us set

$$E_j = Z_j + \bar{Z}_j - \frac{1}{t}(\phi z_j + \bar{\phi} \bar{z}_j)T, \quad F_j = i(Z_j - \bar{Z}_j) + \frac{i}{t}(\phi z_j - \bar{\phi} \bar{z}_j)T.$$

Then  $\{E_j, F_j\}$  is a local frame of the tangent bundle of the foliation and a calculation shows that (6) satisfies  $g_{\theta_0}(E_j, V) = g_{\theta_0}(F_j, V) = 0$ .

□

Let  $M$  and  $N$  be two CR manifolds with boundary. A *CR map* is a  $C^\infty$  map  $f : M \rightarrow N$  such that  $(d_x f)T_{1,0}(M)_x \subseteq T_{1,0}(N)_{f(x)}$ , for any  $x \in M$ . A *CR immersion* is an immersion and a CR map. A CR immersion  $f : M \rightarrow N$  is *neat* if i)  $f(M) \cap \partial N = f(\partial M)$  and ii) for each point  $x \in \partial M$  there is a local chart  $\psi : V \rightarrow \mathbb{R}_+^{m+p}$  of  $N$  such that  $f(x) \in V$  and  $\psi^{-1}(\mathbb{R}_+^m) = V \cap f(M)$  ( $m = \dim(M)$ ).

**Example 3.**  $\Sigma_r^+ = \Sigma_r \cap \mathbb{H}_n^+$  is a CR manifold-with-boundary  $\partial \Sigma_r^+ = S^{2n-1}(r) \times \{0\}$  and the inclusion  $\Sigma_r^+ \rightarrow \mathbb{H}_n^+$  is a neat CR immersion. □

**Example 4.**  $S_+^{2n+1} = S^{2n+1} \cap \mathbb{R}_+^{2n+2}$  is a CR manifold-with-boundary  $\partial S_+^{2n+1} = S^{2n} \times \{0\}$ . Let  $\mathcal{C}$  be the Cayley transform

$$\mathcal{C}(\zeta) = \left( \frac{\zeta'}{1 + \zeta^{n+1}}, i \frac{1 - \zeta^{n+1}}{1 + \zeta^{n+1}} \right), \quad \zeta = (\zeta', \zeta^{n+1}), \quad 1 + \zeta^{n+1} \neq 0,$$

and  $f : \mathbb{H}_n \rightarrow \partial\Omega_{n+1}$  the CR isomorphism  $f(z, t) = (z, t + i|z|^2)$  with the obvious inverse  $f^{-1}(z, w) = (z, \operatorname{Re}(w))$ . Here  $\Omega_{n+1}$  is the Siegel domain

$$\Omega_{n+1} = \{(z, w) \in \mathbb{C}^{n+1} : \operatorname{Im}(w) > |z|^2\}.$$

Then  $F = f^{-1} \circ \mathcal{C}$  is a neat CR diffeomorphism

$$F : S_+^{2n+1} \setminus \{(0, \dots, 0, -1)\} \rightarrow \mathbb{H}_n^+.$$

Indeed if  $\zeta \in S_+^{2n+1}$  and  $\zeta^{n+1} = u + iv$  ( $v \geq 0$ ) and  $(z, t) = F(\zeta)$  then  $t = 2v/[(1+u)^2 + v^2] \geq 0$ . In particular  $F$  descends to a CR diffeomorphism  $(S^{2n} \times \{0\}) \setminus \{(0, \dots, 0, -1)\} \approx \mathbb{C}^n \times \{0\}$ .  $\square$

Let  $M$  be a nondegenerate CR manifold-with-boundary. A complex  $p$ -form  $\eta$  on  $M$  is a  $(p, 0)$ -form if  $T_{0,1}(M) \lrcorner \eta = 0$ . Let  $\Lambda^{p,0}(M) \rightarrow M$  be the bundle of all  $(p, 0)$ -forms. If  $M$  has CR dimension  $n$  then the top degree  $(p, 0)$ -forms are the  $(n+1, 0)$ -forms.  $K(M) = \Lambda^{n+1,0}(M)$  is the *canonical bundle* over  $M$ . There is a natural action of  $\mathbb{R}_+ = (0, +\infty)$  on  $K(M) \setminus \{0\}$ . Let  $C(M)$  be the quotient space and  $\pi : C(M) \rightarrow M$  the projection. This construction leads to a principal bundle  $S^1 \rightarrow C(M) \rightarrow M$  (the *canonical circle bundle* over  $M$ ). Let  $\theta$  be a pseudohermitian structure on  $M$  and  $T$  the characteristic direction of  $d\theta$ . Given a local frame  $\{T_\alpha\}$  of  $T_{1,0}(M)$  on a local coordinate neighborhood  $(U, x^A)$ , let  $\theta^\alpha$  be the locally defined complex 1-forms determined by

$$\theta^\alpha(T_\beta) = \delta_\beta^\alpha, \quad \theta^\alpha(T_{\bar{\beta}}) = 0, \quad \theta^\alpha(T) = 0.$$

Here  $T_{\bar{\alpha}} = \overline{T_\alpha}$ . Then

$$\pi^{-1}(U) \rightarrow U \times S^1, \quad [z] \mapsto (x, \lambda/|\lambda|),$$

$$z = \lambda(\theta \wedge \theta^1 \wedge \dots \wedge \theta^n)_x, \quad \lambda \in \mathbb{C} \setminus \{0\}, \quad x \in M,$$

is a local trivialization chart of the canonical circle bundle. Let us set  $\gamma : \pi^{-1}(U) \rightarrow \mathbb{R}$ ,  $\gamma([z]) = \arg(\lambda)$  (where  $\arg : \mathbb{C} \rightarrow [0, 2\pi)$ ). Then  $(\pi^{-1}(U), \tilde{x}^A = x^A \circ \pi, \gamma)$  are naturally induced local coordinates on  $C(M)$  and  $\pi^{-1}(U \cap \partial M)$  consists of all  $c \in \pi^{-1}(U)$  with  $\tilde{x}^{2n+1}(c) = 0$ , i.e.  $C(M)$  is a manifold-with-boundary modelled on  $\mathbb{R}_+^{2n+1} \times \mathbb{R}$ . We obtained



**Lemma 1.** *Let  $M$  be a nondegenerate CR manifold-with-boundary. Then the total space  $C(M)$  of the canonical circle bundle is a manifold-with-boundary  $\partial C(M) = \pi^{-1}(\partial M)$ . In particular  $\partial C(M)$  is a principal  $S^1$ -bundle over  $\partial M$ .*

Let  $\nabla$  be the unique linear connection on  $M$  (the *Tanaka-Webster connection*) satisfying the axioms 1)  $H(M)$  is parallel with respect to  $\nabla$ , 2)  $\nabla J = 0$ ,  $\nabla g_\theta = 0$ , and 3) the torsion  $T_\nabla$  of  $\nabla$  is *pure*, i.e.  $T_\nabla(Z, W) = 0$ ,  $T_\nabla(Z, \bar{W}) = 2iG_\theta(Z, \bar{W})T$ , and  $\tau \circ J + J \circ \tau = 0$ . Here  $\tau(X) = T_\nabla(T, X)$  is the *pseudohermitian torsion*. We set  $A(X, Y) = g_\theta(\tau X, Y)$ , for any  $X, Y \in T(M)$ . By a result of S. Webster, [24],  $A$  is symmetric.

With respect to a local frame  $\{T_\alpha : 1 \leq \alpha \leq n\}$  of  $T_{1,0}(M)$ , defined on an open set  $U \subseteq M$ , it is customary to set  $g_{\alpha\bar{\beta}} = L_\theta(T_\alpha, T_{\bar{\beta}})$  (the local coefficients of the Levi form),  $\nabla T_\beta = \omega_\beta^\alpha \otimes T_\alpha$  (the connection 1-forms) and  $R^\nabla(T_A, T_B)T_C = R_C^D{}_{AB}T_D$  (the curvature components). The range of the indices  $A, B, C, \dots$  is  $\{0, 1, \dots, n, \bar{1}, \dots, \bar{n}\}$  (with the convention  $T_0 = T$ ). Next, the *pseudohermitian Ricci tensor* is  $R_{\lambda\bar{\mu}} = R_\lambda^\alpha{}_{\alpha\bar{\mu}}$  and the *pseudohermitian scalar curvature* is  $\rho = g^{\alpha\bar{\beta}}R_{\alpha\bar{\beta}}$ . When  $M$  is strictly pseudoconvex and  $\theta$  is a pseudohermitian structure such that  $L_\theta$  is positive definite  $C(M)$  carries a Lorentz metric  $F_\theta$  such that  $F_{\hat{\theta}} = e^{u\circ\pi}F_\theta$ , where  $\hat{\theta} = e^u\theta$ ,  $u \in C^\infty(M)$  (in particular the *restricted conformal class*  $[F_\theta] = \{e^{u\circ\pi}F_\theta : u \in C^\infty(M)\}$  is a CR invariant). Cf. J.M. Lee, [18],  $F_\theta$  is given by

$$(7) \quad F_\theta = \pi^*\tilde{G}_\theta + 2(\pi^*\theta) \odot \sigma,$$

$$(8) \quad \sigma = \frac{1}{n+2} \left\{ d\gamma + \pi^* \left( i\omega_\alpha^\alpha - \frac{i}{2} g^{\alpha\bar{\beta}} dg_{\alpha\bar{\beta}} - \frac{\rho}{4(n+1)} \theta \right) \right\}.$$

$F_\theta$  is the *Fefferman metric* of  $(M, \theta)$ . Here  $\tilde{G}_\theta$  is the degenerate  $(0, 2)$ -tensor field on  $M$  given by

$$\tilde{G}_\theta(X, Y) = (d\theta)(X, JY), \quad \tilde{G}_\theta(T, Z) = 0,$$

for any  $X, Y \in H(M)$  and any  $Z \in T(M)$ . Also  $\odot$  denotes the symmetric tensor product.

Let  $S = \partial/\partial\gamma$  be the tangent to the  $S^1$ -action.  $\sigma$  is a connection 1-form in  $S^1 \rightarrow C(M) \rightarrow M$ . If  $X \in T(M)$  is a tangent vector field on  $M$  then  $X^\uparrow \in T(C(M))$  will denote the horizontal lift of  $X$  with respect to the connection  $\mathcal{H} = \text{Ker}(\sigma)$ . Although the submersion  $\pi : C(M) \rightarrow M$  is not semi-Riemannian (its fibres are degenerate) a technique similar to that in [21] leads to

**Lemma 2.** For any  $X, Y \in H(M)$

$$\nabla_{X^\dagger}^{C(M)} Y^\dagger = (\nabla_X Y)^\dagger - (d\theta)(X, Y)T^\dagger - (A(X, Y) + (d\sigma)(X^\dagger, Y^\dagger))\hat{S},$$

$$\nabla_{X^\dagger}^{C(M)} T^\dagger = (\tau X + \phi X)^\dagger,$$

$$\nabla_{T^\dagger}^{C(M)} X^\dagger = (\nabla_T X + \phi X)^\dagger + 2(d\sigma)(X^\dagger, T^\dagger)\hat{S},$$

$$\nabla_{X^\dagger}^{C(M)} \hat{S} = \nabla_{\hat{S}}^{C(M)} X^\dagger = (JX)^\dagger,$$

$$\nabla_{T^\dagger}^{C(M)} T^\dagger = V^\dagger, \quad \nabla_{\hat{S}}^{C(M)} \hat{S} = 0,$$

$$\nabla_{\hat{S}}^{C(M)} T^\dagger = \nabla_{T^\dagger}^{C(M)} \hat{S} = 0,$$

where  $\phi : H(M) \rightarrow H(M)$  is given by  $G_\theta(\phi X, Y) = (d\sigma)(X^\dagger, Y^\dagger)$ , and  $V \in H(M)$  is given by  $G_\theta(V, Y) = 2(d\sigma)(T^\dagger, Y^\dagger)$ . Also  $\hat{S} = ((n+2)/2)S$ .

Lemma 2 relates the Levi-Civita connection  $\nabla^{C(M)}$  of  $(C(M), F_\theta)$  to the Tanaka-Webster connection of  $(M, \theta)$ . Cf. [4] for the proof of Lemma 2.

### 3. THE GEOMETRY OF THE FIRST FUNDAMENTAL FORM OF THE BOUNDARIES

Let  $M$  be a strictly pseudoconvex CR manifold and  $\theta$  a contact form on  $M$  such that  $G_\theta$  is positive definite. Let  $T(\partial M)^\perp \rightarrow \partial M$  be the normal bundle of  $\partial M \hookrightarrow (M, g_\theta)$ . Let  $\tan_x : T_x(M) \rightarrow T_x(\partial M)$  and  $\text{nor}_x : T_x(M) \rightarrow T(\partial M)_x^\perp$  be the projections associated with the direct sum decomposition

$$T_x(M) = T_x(\partial M) \oplus T(\partial M)_x^\perp, \quad x \in \partial M.$$

If  $T$  is the characteristic direction of  $d\theta$  then we set  $T^\perp = \text{nor}(T)$  and  $T^T = \tan(T)$ .

**Theorem 1.** Let  $\text{Null}(j^*F_\theta)$  consist of all  $V \in T(\partial C(M))$  such that  $F_\theta(V, W) = 0$ , for any  $W \in T(\partial C(M))$ . Let us consider the closed set  $\text{Sing}(T^T) = \{x \in \partial M : T_x^T = 0\}$  and set  $\Omega = \partial M \setminus \text{Sing}(T^T)$ . Then

$$\text{Null}(j^*F_\theta)_z = \begin{cases} 0, & z \in \pi^{-1}(\Omega), \\ \text{Ker}(d_z\pi), & z \in \pi^{-1}(\text{Sing}(T^T)), \end{cases}$$

for any  $z \in \partial C(M)$ . Moreover  $(\pi^{-1}(\Omega), j^*F_\theta)$  is a Lorentz manifold.

Here  $j : \partial C(M) \hookrightarrow C(M)$  is the inclusion. Hence  $\partial C(M)$  is degenerate at each point  $z \in \pi^{-1}(\text{Sing}(T^T))$ . In particular, if  $\partial M$  is tangent to  $T$  then the boundary  $(\partial C(M), j^*F_\theta)$  is a Lorentz manifold.

**Example 1.** (*continued*)

$T(\partial\mathbb{H}_n^+)$  is the span of  $\{X_j - \sqrt{2}y_jT, Y_j + \sqrt{2}x_jT : 1 \leq j \leq n\}$  hence  $\xi = T + \sqrt{2}y^jX_j - \sqrt{2}x^jY_j$  is normal to  $\partial\mathbb{H}_n^+$  (with  $z^j = x^j + iy^j$ ). Then  $T$  decomposes as

$$T = a^j(X_j - \sqrt{2}y_jT) + b^j(Y_j + \sqrt{2}x_jT) + c\xi,$$

$$a^j = -\frac{\sqrt{2}y^j}{1+2|z|^2}, \quad b^j = \frac{\sqrt{2}x^j}{1+2|z|^2}, \quad c = \frac{1}{1+2|z|^2}.$$

Then  $T^\perp = c\xi$  and (with the conventions in Theorem 1)  $\text{Sing}(T^T) = \{0\}$  hence  $(\partial C(\mathbb{H}_n^+) \setminus \pi^{-1}(0), j^*F_{\theta_0})$  is a Lorentz manifold.  $\square$

*Proof of Theorem 1.* Let  $V \in T(\partial C(M))$  such that  $F_\theta(V, W) = 0$  for any  $W \in T(\partial C(M))$  i.e.

$$(\pi^*\tilde{G}_\theta)(V, W) + (\pi^*\theta)(V)\sigma(W) + (\pi^*\theta)(W)\sigma(V) = 0.$$

By taking into account

$$(9) \quad T(C(M)) = \text{Ker}(\sigma) \oplus \text{Ker}(d\pi)$$

we may decompose  $V = V_H + V_V$ , with  $V_H \in \text{Ker}(\sigma)$ . Then

$$(10) \quad \tilde{G}((d\pi)V_H, (d\pi)W_H) + \theta((d\pi)V_H)\sigma(W_V) + \theta((d\pi)W_H)\sigma(V_V) = 0.$$

As  $\partial C(M)$  is a saturated set, it is tangent to the  $S^1$ -action. Hence we may apply (10) for  $W = S \in \text{Ker}(d\pi) \subset T(\partial C(M))$ . As  $\sigma(S) = 1/(n+2)$  we obtain

$$\theta((d\pi)V_H) = 0,$$

i.e.  $(d\pi)V_H \in H(M)$ , hence (10) becomes

$$(11) \quad \tilde{G}_\theta((d\pi)V_H, (d\pi)W_H) + \theta((d\pi)W_H)\sigma(V_V) = 0.$$

Applying (11) for  $W = V$  gives

$$G_\theta((d\pi)V_H, (d\pi)V_H) = 0$$

hence  $(d\pi)V_H = 0$ , and then  $V_H = 0$  (due to  $\text{Ker}(\sigma) \cap \text{Ker}(d\pi) = (0)$ ). Therefore, on one hand

$$(12) \quad \text{Null}(j^*F_\theta) \subseteq \text{Ker}(d\pi)$$

and on the other (11) becomes

$$(13) \quad \theta((d\pi)W_H)\sigma(V_V) = 0.$$

Let  $x_0 \in \Omega$  (so that  $T_{x_0}^T \neq 0$ ) and  $z_0 \in \pi^{-1}(x_0)$ . We may apply (13) for  $W = (T^T)^\uparrow$ , at the point  $z_0$ . Yet

$$(\pi^*\theta)(W_H)_{z_0} = \theta(T^T)_{x_0} = \|T^T\|_{x_0}^2 \neq 0$$

hence (by (13))  $\sigma(V_V)_{z_0} = 0$ , or  $(V_V)_{z_0} = 0$  and we may conclude that  $\text{Null}(j^*F_\theta)_{z_0} = (0)$ . To complete the proof of Theorem 1 it suffices to show that  $\text{Null}(j^*F_\theta)_z$  is 1-dimensional, for any  $z \in \pi^{-1}(C)$ . Let us set  $x = \pi(z)$ . Then, for any  $W \in T(\partial C(M))$

$$\begin{aligned} F_\theta(S, W)_z &= (\pi^*\theta)(W)_z \sigma(S)_z = \frac{1}{n+2} \theta_x((d_z\pi)W_z) = \\ &= g_{\theta,x}(T_x^\perp, (d_z\pi)W_z) = 0 \end{aligned}$$

as  $(d_z\pi)W_z$  is tangent to  $\partial M$ . Hence  $S_z \in \text{Null}(j^*F_\theta)_z$  (and we may apply (12)).

Since  $F_\theta(S, S) = 0$  and  $S$  is tangent to  $\partial C(M)$ ,  $F_\theta$  is indefinite on  $T(\partial C(M))$ . However (by the first part of Theorem 1)  $F_\theta$  is non-degenerate on  $T(\pi^{-1}(\Omega))$  hence  $(j^*F_\theta)_z$  has signature  $(2n, 1)$  at each  $z \in \pi^{-1}(\Omega)$ .  $\square$

**Proposition 4.** *Let  $M$  be a strictly pseudoconvex CR manifold-with-boundary and  $\theta$  a contact form with  $G_\theta$  positive definite. Let  $T$  be the characteristic direction of  $d\theta$ . The property that  $T \in T(\partial M)$  is not CR invariant. If  $T \in T(\partial M)$  and  $\hat{T}$  is the characteristic direction of  $d\hat{\theta}$ , where  $\hat{\theta} = e^{2u}\theta$  ( $u \in C^\infty(M)$ ), then  $\text{Sing}(\hat{T}^T) = \emptyset$ .*

*Proof.* Let us consider a local orthonormal (with respect to  $g_\theta$ ) frame of  $T(\partial M)$  of the form  $\{E_1, \dots, E_{2n-1}, T\}$ , so that  $E_a \in H(M)$ ,  $1 \leq a \leq 2n-1$ . Next, let us complete  $\{E_a\}$  to a local orthonormal frame  $\{E_1, \dots, E_{2n}\}$  of  $H(M)$  and set  $T_\alpha = (1/\sqrt{2})(E_\alpha + iE_{\alpha+n})$ ,  $1 \leq \alpha \leq n$ . Given another contact form  $\hat{\theta} = e^{2u}\theta$  ( $u \in C^\infty(M)$ ) the characteristic direction of  $d\hat{\theta}$  is expressed by

$$\begin{aligned} \hat{T} &= e^{-2u}(T + iu^{\bar{\alpha}}T_{\bar{\alpha}} - iu^\alpha T_\alpha) = \\ &= e^{-2u}\left\{T + \frac{i}{\sqrt{2}}(u^{\bar{\alpha}} - u^\alpha)E_\alpha + \frac{1}{\sqrt{2}}(u^{\bar{\alpha}} + u^\alpha)E_{\alpha+n}\right\} \end{aligned}$$

dove  $u^\alpha = u_\alpha = T_\alpha(u)$  (as  $L_\theta(T_\alpha, T_{\bar{\beta}}) = \delta_{\alpha\beta}$ ). Let  $\xi$  be a unit normal on  $\partial M$ . Then  $\xi \in \{\pm E_{2n}\}$  hence  $\text{Sing}(\hat{T}^T) = \text{Sing}(T) = \emptyset$ .  $\square$

If  $z \in C(M)$  we denote by  $\beta_z : T_{\pi(z)}(M) \rightarrow \text{Ker}(\sigma_z)$  the inverse of the  $\mathbb{R}$ -linear isomorphism  $d_z\pi : \text{Ker}(\sigma_z) \rightarrow T_{\pi(z)}(M)$ . It is an elementary matter that

**Lemma 3.** *Given  $v \in T_x(\partial M)$  its horizontal lift  $\beta_z v$ ,  $z \in \pi^{-1}(x)$ , is tangent to  $\partial C(M)$ .*

Indeed, let  $a : (-\epsilon, \epsilon) \rightarrow \partial M$  be a smooth curve such that  $a(0) = x$  and  $\dot{a}(0) = v$ . Let  $X \in T(\partial M)$  be a tangent vector field such that  $X_x = v$ . Let  $a^\uparrow : (-\epsilon, \epsilon) \rightarrow C(M)$  be the unique horizontal lift of  $a$ , issuing at  $z$ . As  $\pi(a^\uparrow(t)) = a(t)$  one has  $a^\uparrow(t) \in \partial C(M)$ ,  $|t| < \epsilon$ . On the other hand  $\dot{a}^\uparrow(0) \in \text{Ker}(\sigma_z)$  and it projects on  $v$  hence

$$T_z(\partial C(M)) \ni \dot{a}^\uparrow(0) = X_z^\uparrow = \beta_z v.$$

□

We set  $T(\partial M)^\uparrow = \{\beta X : X \in T(\partial M)\}$  and  $\mathcal{V}_z = \text{Ker}(d_z \pi)$ , for  $z \in \partial C(M)$ . As observed above,  $\partial C(M)$  is tangent to the  $S^1$ -action hence  $\mathcal{V}$  is a smooth distribution on  $\partial C(M)$ .

**Lemma 4.** *Let  $M$  be a strictly pseudoconvex CR manifold-with-boundary. One has the decomposition*

$$(14) \quad T(\partial C(M)) = T(\partial M)^\uparrow \oplus \mathcal{V}$$

Moreover, if  $\partial M$  is tangent to the characteristic direction  $T$  of  $d\theta$  then

$$(15) \quad T(\partial C(M))^\perp \subseteq \text{Ker}(\sigma), \quad (d\pi)T(\partial C(M))^\perp \subseteq H(M),$$

$$(16) \quad \text{Ker}(\sigma) = T(\partial M)^\uparrow \oplus T(\partial C(M))^\perp.$$

Here  $T(\partial C(M))^\perp \rightarrow \partial C(M)$  is the normal bundle of  $j : \partial C(M) \hookrightarrow (C(M), F_\theta)$ .

*Proof of Lemma 4.* Note that

$$T(\partial M)^\uparrow \cap \mathcal{V} \subseteq \text{Ker}(\sigma) \cap \text{Ker}(d\pi) = (0),$$

hence the sum  $T(\partial M)^\uparrow + \mathcal{V}$  is direct. The arguments preceding Lemma 4 show that  $T(\partial M)^\uparrow \oplus \mathcal{V} \subseteq T(\partial C(M))$ . Viceversa, let  $V \in T(\partial C(M)) \subset T(C(M))$ . Then (by the decomposition (9))

$$(17) \quad V = X^\uparrow + f S,$$

for some  $X \in T(M)$  and  $f \in C^\infty(C(M))$ . Then

$$X_{\pi(z)} = (d_z \pi)V_z \in T_{\pi(z)}(\partial M), \quad z \in \partial C(M),$$

i.e.  $X \in T(\partial M)$  and then  $T(\partial C(M)) \subseteq T(\partial M)^\uparrow \oplus \mathcal{V}$ . To check (15) let  $V \in T(\partial C(M))^\perp \subset T(C(M))$  and use (9) to decompose as in (17). By assumption  $T \in T(\partial M)$  hence  $T^\uparrow \in T(\partial C(M))$  and then

$$\begin{aligned} 0 &= F_\theta(V, T^\uparrow) = \tilde{G}((d\pi)V, (d\pi)T^\uparrow) + \theta((d\pi)T^\uparrow)\sigma(V) = \\ &= \tilde{G}_\theta(X, T) + \frac{f}{n+2} = \frac{f}{n+2} \end{aligned}$$

i.e.  $f = 0$ , or  $V = X^\dagger \in \text{Ker}(\sigma)$ . To check the second statement in (15) let

$$V \in T(\partial C(M))^\perp \subseteq \text{Ker}(\sigma) = T(M)^\dagger = H(M)^\dagger \oplus (\mathbb{R}T)^\dagger$$

i.e.  $V = Y^\dagger + fT^\dagger$ , for some  $Y \in H(M)$ . Moreover  $S \in \text{Ker}(d\pi) \subset T(\partial C(M))$ , hence  $S$  and  $V$  are orthogonal

$$0 = F_\theta(S, V) = \theta((d\pi)V)\sigma(S) = \frac{f}{n+2}$$

i.e.  $f = 0$ , or  $V \in H(M)^\dagger$ . (15) is proved and may be equivalently written

$$T(\partial C(M))^\perp \subseteq H(M)^\dagger.$$

When  $T^\perp = 0$  the space  $T(\partial C(M))$  is nondegenerate in  $(T(C(M)), F_\theta)$  hence so does the perp space  $T(\partial C(M))^\perp$ . Also

$$T(C(M)) = T(\partial C(M)) \oplus T(\partial C(M))^\perp.$$

Let us prove (16). First

$$T(\partial M)^\dagger \cap T(\partial C(M))^\perp \subseteq T(\partial C(M)) \cap T(\partial C(M))^\perp = (0)$$

hence the sum  $T(\partial M)^\dagger + T(\partial C(M))^\perp$  is direct and (by (15))

$$(18) \quad T(\partial M)^\dagger \oplus T(\partial C(M))^\perp \subseteq \text{Ker}(\sigma).$$

Finally (by (14))

$$\begin{aligned} \text{Ker}(\sigma) \oplus \text{Ker}(d\pi) &= T(C(M)) = T(\partial C(M)) \oplus T(\partial C(M))^\perp = \\ &= T(\partial M)^\dagger \oplus \text{Ker}(d\pi) \oplus T(\partial C(M))^\perp \end{aligned}$$

and (18) yields (16).  $\square$

From now on we assume that  $\partial M$  is tangent to  $T$ . Then let us consider a local orthonormal frame  $\{E_1, \dots, E_{2n-1}, T\}$  of  $T(\partial M)$ , with respect to  $i^*g_\theta$  (the first fundamental form of  $i : \partial M \hookrightarrow M$ ), defined on some open set  $U \subseteq \partial M$ . In particular  $E_a \in H(M)$ ,  $1 \leq a \leq 2n-1$ .

**Lemma 5.** *Let  $M$  be a strictly pseudoconvex CR manifold-with-boundary. Let  $\theta$  be a contact form on  $M$  such that  $G_\theta$  is positive definite and let  $T$  be the characteristic direction of  $d\theta$ . Assume that  $\partial M$  is tangent to  $T$ . Then*

$$\left\{ E_1^\dagger, \dots, E_{2n-1}^\dagger, T^\dagger \pm \frac{n+2}{2} S \right\}$$

*is a local orthonormal frame of  $T(\partial C(M))$ , with respect to  $j^*F_\theta$ , defined on the open set  $\pi^{-1}(U) \subseteq \partial C(M)$ . In particular  $T^\dagger - ((n+2)/2)S$  is a global timelike vector field on  $\partial C(M)$ , i.e.  $(\partial C(M), j^*F_\theta)$  is a spacetime.*

See also [5]. The proof is straightforward.

#### 4. THE GEOMETRY OF THE SECOND FUNDAMENTAL FORM OF THE BOUNDARIES

As  $(\partial C(M), j^*F_\theta)$  is a Lorentz submanifold of  $(C(M), F_\theta)$  we may write the Gauss equation

$$\nabla_X^{C(M)} Y = \nabla_X^{\partial C(M)} Y + \mathbb{B}(X, Y),$$

for any  $X, Y \in T(\partial C(M))$ . Here  $\nabla^{\partial C(M)}$  is the induced connection and  $\mathbb{B}$  is the second fundamental form of  $j : \partial C(M) \hookrightarrow C(M)$ . Cf. e.g. [22], p. 100. At this point, we wish to compute the mean curvature vector of  $j$

$$\mathbb{H} = \frac{1}{2n+1} \text{trace}_{j^*F_\theta}(\mathbb{B}).$$

To this end it is convenient to use the local frame in Proposition 5.

**Theorem 2.** *Let  $M$  be a strictly pseudoconvex CR manifold-with-boundary, of CR dimension  $n$ , and  $\theta$  a contact form on  $M$  such that  $G_\theta$  is positive definite. Assume that  $\partial M$  is tangent to the characteristic direction  $T$  of  $d\theta$ . Let  $\{E_1, \dots, E_{2n-1}, T\}$  be a local  $g_\theta$ -orthonormal frame of  $T(\partial M)$  and  $\xi$  a unit normal vector field on  $\partial M$ , both defined on the open set  $U \subseteq \partial M$ . Then the mean curvature vector  $\mathbb{H}$  of the immersion  $j : \partial C(M) \hookrightarrow C(M)$  is given by*

$$(19) \quad \mathbb{H}_z = \frac{1}{2n+1} \sum_{a=1}^{2n-1} g_\theta(\nabla_{E_a} E_a, \xi)_{\pi(z)} \xi_z^\uparrow$$

for any  $z \in \pi^{-1}(U)$ . Here  $\nabla$  is the Tanaka-Webster connection of  $(M, \theta)$ . In particular  $\mathbb{H} = (2n/(2n+1))H^\uparrow$ , where  $H$  is the mean curvature vector of the immersion  $i : \partial M \hookrightarrow M$ . Therefore,  $\partial C(M)$  is minimal in  $(C(M), F_\theta)$  if and only if  $\partial M$  is minimal in  $(M, g_\theta)$ .

**Example 5.**  $\mathbb{R}_+^{2n} \times \mathbb{R}$  is a strictly pseudoconvex CR manifold (with the CR structure induced from  $\mathbb{H}_n$ ) whose boundary  $N = \partial(\mathbb{R}_+^{2n} \times \mathbb{R})$  is tangent to  $T = \partial/\partial t$ . The normal bundle of the boundary is the span of  $\xi = \partial/\partial y^n - 2x_n T$ . By the Gauss formula, the second fundamental form of the boundary is given by

$$\begin{aligned} B\left(\frac{\partial}{\partial x^n}, \frac{\partial}{\partial x^n}\right) &= -4y_n \xi, & B\left(\frac{\partial}{\partial x^\alpha}, \frac{\partial}{\partial x^n}\right) &= -2y_\alpha \xi, & B\left(\frac{\partial}{\partial x^\alpha}, \frac{\partial}{\partial x^\beta}\right) &= 0, \\ B\left(\frac{\partial}{\partial x^\alpha}, \frac{\partial}{\partial y^\beta}\right) &= 0, & B\left(\frac{\partial}{\partial x^n}, \frac{\partial}{\partial y^\beta}\right) &= 2x_\beta \xi, & B\left(\frac{\partial}{\partial y^\alpha}, \frac{\partial}{\partial y^\beta}\right) &= 0, \\ B\left(\frac{\partial}{\partial x^\alpha}, T\right) &= 0, & B\left(\frac{\partial}{\partial x^n}, T\right) &= \xi, & B\left(\frac{\partial}{\partial y^\alpha}, T\right) &= 0, & B(T, T) &= 0. \end{aligned}$$

Here  $1 \leq \alpha, \beta \leq 2n - 1$ . On the other hand, the induced metric on  $N$  is given by

$$g : \begin{pmatrix} 2(\delta_{ij} + 2y_i y_j) & -4y_i x_\beta & -2y_i \\ -4x_\alpha y_j & 2(\delta_{\alpha\beta} + 2x_\alpha x_\beta) & 2x_\alpha \\ -2y_j & 2x_\beta & 1 \end{pmatrix}$$

hence (by an argument similar to the proof of Lemma 7) the corresponding cometric on  $T^*(N)$  is given by

$$(20) \quad g^{-1} : \begin{pmatrix} \frac{1}{2}\delta^{ij} & 0 & y^i \\ 0 & \frac{1}{2}\delta^{\alpha\beta} & -x^\alpha \\ y^j & -x^\beta & 1 + 2|x'|^2 + 2|y|^2 \end{pmatrix}$$

where  $x' = (x_1, \dots, x_{2n-1})$ ,  $|x'|^2 = x_\alpha x^\alpha$  and  $|y|^2 = y_j y^j$ . Finally a calculation (based on (20)) shows that  $2nH = g^{ab}B(\partial_a, \partial_b) = 0$ , i.e.  $N$  is minimal in  $(\mathbb{R}_+^{2n} \times \mathbb{R}, g_{\theta_0})$ . In particular (by Theorem 2)  $\partial C(\mathbb{R}_+^{2n} \times \mathbb{R})$  is minimal in  $(C(\mathbb{R}_+^{2n} \times \mathbb{R}), F_{\theta_0})$ .  $\square$

Let  $\{X_A : 1 \leq A \leq 2n + 1\}$  be a local  $F_\theta$ -orthonormal frame of  $T(\partial C(M))$ , i.e.  $F_\theta(X_A, X_B) = \epsilon_A \delta_{AB}$ , with  $\epsilon_1 = \dots = \epsilon_{2n} = 1 = -\epsilon_{2n+1}$ . Then  $\mathbb{H}$  is locally given by

$$\mathbb{H} = \frac{1}{2n + 1} \sum_A \epsilon_A \mathbb{B}(X_A, X_A).$$

*Proof of Theorem 2.* Using the local frame furnished by Lemma 5 we obtain

$$(21) \quad (2n + 1)\mathbb{H} = \sum_{a=1}^{2n-1} \mathbb{B}(E_a^\uparrow, E_a^\uparrow) + 2(n + 2)\mathbb{B}(T^\uparrow, S).$$

As a consequence of Lemma 2 we have

$$(22) \quad \nabla_{E_a^\uparrow}^{C(M)} E_a^\uparrow = (\nabla_{E_a} E_a)^\uparrow - \frac{n+2}{2} A(E_a, E_a)S,$$

$$(23) \quad \nabla_{T^\uparrow}^{C(M)} S = 0.$$

The equation (23) implies  $\mathbb{B}(T^\uparrow, S) = 0$  (with the corresponding simplification of (21)). As  $T \in T(\partial M)$  we have

$$T(\partial M)^\perp \subseteq H(M).$$

We need the following

**Lemma 6.**



Assume that  $\partial M$  is tangent to  $T$ . Let  $T(\partial M)^\perp \rightarrow \partial M$  be the normal bundle of the immersion  $i : \partial M \hookrightarrow M$ . Then

$$(24) \quad [T(\partial M)^\perp]^\dagger = T(\partial C(M))^\perp.$$

*Proof of Lemma 6.* Let  $\xi \in T(\partial M)^\perp$  and  $V \in T(\partial C(M)) = T(\partial M)^\dagger \oplus \text{Ker}(d\pi)$ , i.e.  $V = X^\dagger + fS$ . Let us set  $X_H := X - \theta(X)T \in H(M)$ . Then

$$\begin{aligned} F_\theta(V, \xi^\dagger) &= \tilde{G}_\theta(X, \xi) + f F_\theta(S, \xi^\dagger) = \\ &= G_\theta(X_H, \xi) + f \theta(\xi)\sigma(S) = g_\theta(X_H, \xi) = 0 \end{aligned}$$

because  $X, T \in T(\partial M)$  implies  $X_H \in T(\partial M)$ . It follows that

$$[T(\partial M)^\perp]^\dagger \subseteq T(\partial C(M))^\perp.$$

The desired equality follows by inspecting dimensions.  $\square$

Let  $\xi$  be a unit normal vector field on  $\partial M$ , defined on the open set  $U \subseteq N$ . Then (by Lemma 6)  $\xi^\dagger$  is a unit normal vector field on  $\partial C(M)$ . Then (by the Gauss equation and by (22))

$$\begin{aligned} F_\theta(\mathbb{B}(E_a^\dagger, E_a^\dagger), \xi^\dagger) &= F_\theta(\nabla_{E_a^\dagger}^{C(M)} E_a^\dagger, \xi^\dagger) = F_\theta((\nabla_{E_a} E_a)^\dagger, \xi^\dagger) = \\ &= \tilde{G}_\theta(\nabla_{E_a} E_a, \xi) = g_\theta(\nabla_{E_a} E_a, \xi) \end{aligned}$$

which yields (19).  $\square$

The Levi-Civita connection  $\nabla^{g_\theta}$  of  $(M, g_\theta)$  is related to the Tanaka-Webster connection  $\nabla$  of  $(M, \theta)$  by

$$(25) \quad \begin{aligned} \nabla_X^{g_\theta} Y &= \nabla_X Y + (\Omega(X, Y) - A(X, Y))T + \\ &\quad + \tau(X)\theta(Y) + \theta(X)JY + \theta(Y)JX, \end{aligned}$$

for any  $X, Y \in T(M)$ . Here  $\Omega = -d\theta$ . Cf. e.g. [3], p. 238. Thus, for any  $X, Y \in H(M)$

$$\nabla_X^{g_\theta} Y = \nabla_X Y + (\Omega(X, Y) - A(X, Y))T$$

and then

$$\nabla_{E_a}^{g_\theta} E_a = \nabla_{E_a} E_a - A(E_a, E_a)T$$

implies (as  $g_\theta(T, \xi) = 0$ )

$$\begin{aligned} (2n+1)\mathbb{H} &= \sum_a g_\theta(\nabla_{E_a}^{g_\theta} E_a, \xi)\xi^\dagger = \\ &= \sum_a g_\theta(B(E_a, E_a), \xi)\xi^\dagger = 2ng_\theta(H, \xi)\xi^\dagger \end{aligned}$$

because  $\nabla_T^{g_\theta} T = 0$  implies  $B(T, T) = 0$ . Here  $B$  is the second fundamental form of  $i : \partial M \hookrightarrow M$  and  $H = (1/(2n)) \text{trace}_{g_\theta}(B)$  is its mean curvature vector. Then  $\mathbb{H} = (2n/(2n+1)) H^\dagger$ .  $\square$

**Theorem 3.** *Let  $M$  be a strictly pseudoconvex CR manifold-with-boundary and  $\theta$  such that  $T \in T(\partial M)$ . Then  $\partial C(M)$  has nonumbilic points in  $(C(M), F_\theta)$ . Moreover  $\partial M$  is totally umbilical in  $(M, g_\theta)$  if and only if*

$$\mathbb{B}(X^\dagger, Y^\dagger) = \frac{2n+1}{2n} F_\theta(X^\dagger, Y^\dagger) \mathbb{H},$$

$$\mathbb{B}(X^\dagger, T^\dagger) = \{(d\sigma)(X^\dagger, \xi^\dagger) + g_\theta(X, J\xi)\} \xi^\dagger,$$

for any  $X, Y \in T(\partial M) \cap H(M)$ .

*Proof.* By (25) and the Gauss formula for the immersion  $\partial M \hookrightarrow (M, g_\theta)$

$$B(X, Y) = g_\theta(\nabla_X Y, \xi) \xi, \quad B(X, T) = g_\theta(\tau X + JX, \xi) \xi,$$

for any  $X, Y \in T(\partial M) \cap H(M)$ . Next, by Lemma 2 and the Gauss formula for the immersion  $\partial C(M) \hookrightarrow (C(M), F_\theta)$

$$(26) \quad \mathbb{B}(X^\dagger, Y^\dagger) = B(X, Y)^\dagger,$$

$$(27) \quad \mathbb{B}(X^\dagger, T^\dagger) = B(X, T)^\dagger + \{(d\sigma)(X^\dagger, \xi^\dagger) + g_\theta(X, J\xi)\} \xi^\dagger,$$

$$(28) \quad \mathbb{B}(X^\dagger, \hat{S}) = -g_\theta(X, J\xi) \xi^\dagger, \quad \mathbb{B}(T^\dagger, \hat{S}) = 0.$$

Note that  $J\xi$  is tangent to  $\partial M$ . Assume that  $\mathbb{B} = F_\theta \otimes \mathbb{H}$ . Then (by (28))  $J\xi$  is orthogonal to  $\partial M$ , hence  $\xi = 0$ , a contradiction. The last statement in Theorem 3 follows from  $B = g_\theta \otimes H$  and (26)-(27).  $\square$

## 5. MINIMAL SUBMANIFOLDS

The purpose of this section to investigate minimal submanifolds in the Heisenberg group  $\mathbb{H}_n$ . First, we establish the relationship between the notion of  $X$ -minimality of N. Arcozzi & F. Ferrari, cf. (3) in [1], I. Birindelli & E. Lanconelli, cf. (3.23) in [6], and N. Garofalo & S.D. Pauls, cf. (2.5) in [14] (see also [23]) and minimality of an isometric immersion (between Riemannian manifolds). Second, we prove the following

**Theorem 4.** *Let  $\Psi : N \rightarrow \mathbb{H}_n$  be an isometric immersion of a  $m$ -dimensional Riemannian manifold  $(N, g)$  into  $(\mathbb{H}_n, g_{\theta_0})$ . Then  $\Psi$  is minimal if and only if*

$$(29) \quad \Delta \Psi = 2JT^\perp$$

where  $\Delta$  is the Laplace-Beltrami operator of  $(N, g)$ . In particular, there are no minimal isometric immersions  $\Psi$  of a compact Riemannian manifold  $N$  into the Heisenberg group such that  $T$  is tangent to  $\Psi(N)$ .

Compare to Theorem 6.2 and Corollaries 6.1 and 6.2 in [8], p. 45-48. Let  $M = \mathbb{H}_1$  be the lowest dimensional Heisenberg group and  $\varphi : \mathbb{H}_1 \rightarrow \mathbb{R}$  a  $C^2$  function. Let us set

$$N = \{x \in \mathbb{H}_1 : \varphi(x) = 0\}$$

and assume there is an open neighborhood  $O \supset N$  such that

$$(30) \quad |\nabla\varphi(x)| \geq \alpha > 0, \quad x \in O.$$

Here  $\nabla\varphi$  is the Euclidean gradient of  $\varphi$ . Let  $(z, t)$  be the natural coordinates on  $\mathbb{H}_1 = \mathbb{C} \times \mathbb{R}$  and set  $Z = Z_1 = \partial/\partial z + i\bar{z}\partial/\partial t$  (the generator of  $T_{1,0}(\mathbb{H}_1)$ ). Let  $\theta_0 = dt + i(zd\bar{z} - \bar{z}dz)$  be the canonical contact form on  $\mathbb{H}_1$ . Note that  $L_{\theta_0}(Z, \bar{Z}) = 1$ . The Tanaka-Webster connection of  $(\mathbb{H}_1, \theta_0)$  is given by

$$\Gamma_{BC}^A = 0, \quad A, B, C \in \{1, \bar{1}, 0\}.$$

Let us set  $X_1 = \frac{1}{\sqrt{2}}(Z + \bar{Z})$  and  $X_2 = Y_1 = \frac{i}{\sqrt{2}}(Z - \bar{Z})$ . We shall prove the following

**Theorem 5.** *Let  $N = \{x \in \mathbb{H}_1 : \varphi(x) = 0\}$  be a surface in  $\mathbb{H}_1$  such that (30) holds. Assume that  $N$  is tangent to the characteristic direction  $T = \partial/\partial t$  of  $(\mathbb{H}_1, \theta_0)$ . Let  $\xi$  be a unit normal vector field on  $N$ . Then the mean curvature vector of  $N$  in  $(\mathbb{H}_1, g_{\theta_0})$  is given by*

$$(31) \quad H = -\frac{1}{2} \sum_{j=1}^2 X_j \left( \frac{X_j \varphi}{|X\varphi|} \right) \xi.$$

Here  $|X\varphi|^2 = (X_1\varphi)^2 + (X_2\varphi)^2$  is the  $X$ -gradient of  $\varphi$ .

*Proof of Theorem 5.*  $T(N)$  is the span of  $\{E, T\}$  while  $T(N)^\perp$  is the span of  $\xi$ , where

$$E = \frac{1}{|X\varphi|} \{(X_2\varphi)X_1 - (X_1\varphi)X_2\}, \quad \xi = \frac{1}{|X\varphi|} \{(X_1\varphi)X_1 + (X_2\varphi)X_2\},$$

so that  $g_{\theta_0}(E, E) = 1$  and  $g_{\theta_0}(\xi, \xi) = 1$ . A calculation (based on  $\nabla_{X_j} X_k = 0$ ) leads to

$$\begin{aligned} \nabla_{X_1} E &= X_1 \left( \frac{X_2\varphi}{|X\varphi|} \right) X_1 - X_1 \left( \frac{X_1\varphi}{|X\varphi|} \right) X_2, \\ \nabla_{X_2} E &= X_2 \left( \frac{X_2\varphi}{|X\varphi|} \right) X_1 - X_2 \left( \frac{X_1\varphi}{|X\varphi|} \right) X_2, \end{aligned}$$

hence

$$(32) \quad \nabla_E E = \frac{1}{|X\varphi|} \left\{ \left[ (X_2\varphi)X_1 \left( \frac{X_2\varphi}{|X\varphi|} \right) - (X_1\varphi)X_2 \left( \frac{X_2\varphi}{|X\varphi|} \right) \right] X_1 + \right. \\ \left. + \left[ (X_1\varphi)X_2 \left( \frac{X_1\varphi}{|X\varphi|} \right) - (X_2\varphi)X_1 \left( \frac{X_1\varphi}{|X\varphi|} \right) \right] X_2 \right\}.$$

Then (by (32))

$$(33) \quad g_{\theta_0}(\nabla_E E, \xi) = - \sum_{j=1}^2 X_j \left( \frac{X_j\varphi}{|X\varphi|} \right) + \\ + \frac{1}{|X\varphi|^2} \left\{ (X_1\varphi)^2 X_2 \left( \frac{X_2\varphi}{|X\varphi|} \right) + (X_1\varphi)^2 X_1 \left( \frac{X_1\varphi}{|X\varphi|} \right) + \right. \\ \left. + (X_1\varphi)(X_2\varphi) X_1 \left( \frac{X_2\varphi}{|X\varphi|} \right) + (X_1\varphi)(X_2\varphi) X_2 \left( \frac{X_1\varphi}{|X\varphi|} \right) \right\}.$$

Using the identity

$$|X\varphi|X_j(|X\varphi|) = (X_1\varphi) X_j X_1\varphi + (X_2\varphi) X_j X_2\varphi$$

one may show that the second term in the right hand member of (33) is  $|X\varphi|^{-4}$  times

$$(X_2\varphi)^2 \{ (X_2 X_2\varphi) |X\varphi| - (X_2\varphi) X_2 (|X\varphi|) \} + \\ + (X_1\varphi)^2 \{ (X_1 X_1\varphi) |X\varphi| - (X_1\varphi) X_1 (|X\varphi|) \} + \\ + (X_1\varphi)(X_2\varphi) \{ (X_1 X_2\varphi) |X\varphi| - (X_2\varphi) X_1 (|X\varphi|) \} + \\ + (X_1\varphi)(X_2\varphi) \{ (X_2 X_1\varphi) |X\varphi| - (X_1\varphi) X_2 (|X\varphi|) \} = \\ = - \{ (X_1\varphi) X_1 (|X\varphi|) + (X_2\varphi) X_2 (|X\varphi|) \} \{ (X_1\varphi)^2 + (X_2\varphi)^2 \} + \\ + |X\varphi| \{ (X_1\varphi)^2 X_1 X_1\varphi + 2(X_1\varphi)(X_2\varphi) X_1 X_2\varphi + (X_2\varphi)^2 X_2 X_2\varphi \} \\ \text{(as } [X_1, X_2] = -2T \text{ and } T(\varphi) = 0 \text{) or}$$

$$- (X_2\varphi) |X\varphi| \{ (X_1\varphi) X_2 X_1\varphi + (X_2\varphi) X_2 X_2\varphi \} - \\ - (X_1\varphi) |X\varphi| \{ (X_1\varphi) X_1 X_1\varphi + (X_2\varphi) X_1 X_2\varphi \} + \\ + |X\varphi| \{ (X_1\varphi)^2 X_1 X_1\varphi + 2(X_1\varphi)(X_2\varphi) X_1 X_2\varphi + (X_2\varphi)^2 X_2 X_2\varphi \} = 0 \\ \text{hence (33) leads to (31). } \square$$

Let us prove Theorem 4. Let  $(x^1, \dots, x^{2n}, x^0)$  be the Cartesian coordinates on  $\mathbb{R}^{2n+1}$  and  $(U, u^1, \dots, u^m)$  a local coordinate system on  $N$ . Let  $H(\Psi)$  be the mean curvature vector of  $\Psi : N \rightarrow \mathbb{H}_n$ . Then  $H(\Psi) = H^A \partial_A$ , where  $\partial_A$  is short for  $\partial/\partial x^A$ . Let  $g_0 = g_{\theta_0}$  be the Webster metric of  $(\mathbb{H}_n, \theta_0)$  and  $D^0$  the Levi-Civita connection of  $(\mathbb{H}_n, g_0)$ . We set  $B_\alpha^A = \partial\Psi^A/\partial u^\alpha$ , so that  $\Psi_*(\partial/\partial u^\alpha) = B_\alpha^A \partial_A$ . Let  $\{E_1, \dots, E_m\}$  be a local orthonormal (with respect to  $g$ ) frame of  $T(N)$ , defined on

$U$ . Then  $E_\alpha = E_\alpha^\beta \partial / \partial u^\beta$ . Taking into account that  $E_\alpha^\beta B_\beta^A = E_\alpha(\Psi^A)$ , the Gauss formula of  $\Psi$

$$D_{E_\alpha}^0 E_\beta = \Psi_* D_{E_\alpha} E_\beta + B(E_\alpha, E_\beta)$$

may be written

$$\begin{aligned} & \{E_\alpha(E_\beta \Psi^A) - (D_{E_\alpha} E_\beta)(\Psi^A)\} \partial_A = \\ & = B(E_\alpha, E_\beta) - E_\alpha(\Psi^A) E_\beta(\Psi^B) D_{\partial_A}^0 \partial_B. \end{aligned}$$

Here  $D$  is the Levi-Civita connection of  $(N, g)$  and  $B$  is the second fundamental form of  $\Psi$ . Contraction of  $\alpha$  and  $\beta$  gives

$$(34) \quad (\Delta \Psi^A) \partial_A = mH(\Psi) - \sum_{\alpha=1}^m E_\alpha(\Psi^A) E_\alpha(\Psi^B) D_{\partial_A}^0 \partial_B$$

Since

$$(35) \quad \partial_j = \frac{\partial}{\partial x^j} = Z_j + \bar{Z}_j - 2y^j T, \quad \partial_{j+n} = \frac{\partial}{\partial y^j} = i(Z_j - \bar{Z}_j) + 2x^j T,$$

it follows that the Tanaka-Webster connection of  $(\mathbb{H}_n, \theta_0)$  satisfies

$$\nabla_{\partial_j} \partial_k = \nabla_{\partial_{j+n}} \partial_{k+n} = 0,$$

$$(36) \quad \begin{aligned} \nabla_{\partial_j} \partial_{k+n} &= -\nabla_{\partial_{j+n}} \partial_k = 2\delta_{jk} T, \\ \nabla_{\partial_A} T &= \nabla_T \partial_B = 0. \end{aligned}$$

Let  $J$  be the complex structure in  $H(\mathbb{H}_n)$ , extended to a  $(1, 1)$ -tensor field on  $\mathbb{H}_n$  by requesting that  $JT = 0$ . Using  $D^0 = \nabla - (d\theta_0) \otimes T + 2(\theta_0 \odot J)$  it follows that

$$\begin{aligned} E_\alpha(\Psi^A) E_\beta(\Psi^B) D_{\partial_A}^0 \partial_B &= E_\alpha(\Psi^A) E_\beta(\Psi^B) \nabla_{\partial_A} \partial_B - \\ & - (d\theta)(E_\alpha, E_\beta) T + \theta(E_\alpha) J \Psi_* E_\beta + \theta(E_\beta) J \Psi_* E_\alpha \end{aligned}$$

where  $\theta = \Psi^* \theta_0$ . On the other hand, by (36)

$$\begin{aligned} & E_\alpha(\Psi^A) E_\beta(\Psi^B) \nabla_{\partial_A} \partial_B = \\ & = 2 \sum_{j=1}^n \{E_\alpha(\Psi^j) E_\beta(\Psi^{j+n}) - E_\alpha(\Psi^{j+n}) E_\beta(\Psi^j)\} T. \end{aligned}$$

Also  $\sum_\alpha \theta(E_\alpha) = \sum_\alpha g_0(T, \Psi_* E_\alpha) = \sum_\alpha g(T^T, E_\alpha)$  hence

$$\sum_\alpha \theta(E_\alpha) J \Psi_* E_\alpha = J \Psi_* T^T = -JT^\perp,$$

so that (34) becomes  $mH(\Psi) = \Delta \Psi - 2JT^\perp$  (yielding (29)).  $\square$

Our Theorem 5 demonstrates that the Webster metric is the "correct" choice of ambient metric. Nevertheless, even the geometry of a

hyperplane in  $(\mathbb{H}_n, g_0)$  turns out to be rather involved. In the sequel, we work out explicitly the case of  $\{z \in \mathbb{H}_n : t = 0\}$ .

**Example 1.** (*continued*) Let  $\Psi : \partial\mathbb{H}_n^+ \rightarrow \mathbb{H}_n^+$  be the inclusion and  $g = \Psi^*g_0$  (the first fundamental form of  $\Psi$ ). Let  $\Delta$  be the Laplace-Beltrami operator of  $(\partial\mathbb{H}_n^+, g)$ . We may state

**Proposition 5.** *The coordinate functions  $z^j$  on  $\partial\mathbb{H}_n^+ \approx \mathbb{C}^n$  satisfy  $\Delta z^j = 2z^j/(1+2|z|^2)$ . Consequently the boundary of  $(\mathbb{H}_n^+, g_0)$  is minimal.*

Note that

$$\begin{aligned} \theta_0(\partial_i) &= -2y_i, & \theta_0(\partial_{i+n}) &= 2x_i, \\ (d\theta_0)(\partial_i, \partial_j) &= (d\theta_0)(\partial_{i+n}, \partial_{j+n}) = 0, & (d\theta_0)(\partial_i, \partial_{j+n}) &= 2\delta_{ij}, \\ J\partial_j &= \partial_{j+n} - 2x_jT, & J\partial_{j+n} &= -\partial_j - 2y_jT. \end{aligned}$$

Then by (25) (with  $\tau = 0$ ) and by (36) it follows that

$$\begin{aligned} D_{\partial_i}^0 \partial_j &= -2(y_i\delta_j^k + y_j\delta_i^k) \frac{\partial}{\partial y^k} + 4(y_ix_j + y_jx_i)T, \\ D_{\partial_i}^0 \partial_{j+n} &= 2(y_i \frac{\partial}{\partial x^j} + x_j \frac{\partial}{\partial y^i}) + 4(y_iy_j - x_ix_j)T, \\ D_{\partial_{i+n}}^0 \partial_{j+n} &= -2(x_i\delta_j^k + x_j\delta_i^k) \frac{\partial}{\partial x^k} - 4(x_iy_j + x_jy_i)T. \end{aligned}$$

Next, we shall need the Gauss formula

$$D_{\partial_a}^0 \partial_b = D_{\partial_a} \partial_b + B(\partial_a, \partial_b),$$

where  $D$  is the Levi-Civita connection of  $(\partial\mathbb{H}_n^+, g)$ . We obtain

$$B(\partial_i, \partial_j) = 4c(y_ix_j + y_jx_i)\xi,$$

$$(37) \quad B(\partial_i, \partial_{j+n}) = 4c(y_iy_j - x_ix_j)\xi,$$

$$B(\partial_{i+n}, \partial_{j+n}) = -4c(x_iy_j + x_jy_i)\xi,$$

hence  $\Psi$  is not totally geodesic, and if  $D_{\partial_a} \partial_b = \Gamma_{ab}^c \partial_c$  then

$$\Gamma_{ij}^k = -4c(y_ix_j + y_jx_i)y^k, \quad \Gamma_{i+n, j+n}^{k+n} = -4c(x_iy_j + x_jy_i)x^k,$$

$$\Gamma_{ij}^{k+n} = 4c(y_ix_j + y_jx_i)x^k - 2(y_i\delta_j^k + y_j\delta_i^k),$$

$$(38) \quad \Gamma_{i, j+n}^k = 2y_i\delta_j^k - 4c(y_iy_j - x_ix_j)y^k,$$

$$\Gamma_{i, j+n}^{k+n} = 2x_j\delta_i^k + 4c(y_iy_j - x_ix_j)x^k,$$

$$\Gamma_{i+n, j+n}^k = -2(x_i\delta_j^k + x_j\delta_i^k) + 4c(x_iy_j + x_jy_i)y^k.$$

We need the following

**Lemma 7.** *The local coefficients of the cometric  $g^{-1}$  on  $T^*(\partial\mathbb{H}_n^+)$  are given by*

$$(39) \quad g^{-1} : \begin{pmatrix} \frac{1}{2}\delta^{ij} - cy^i y^j & cy^i x^j \\ cx^i y^j & \frac{1}{2}\delta^{ij} - cx^i x^j \end{pmatrix}.$$

Consequently

$$\Delta u = \frac{1}{2} \Delta_0 u + 2c \frac{\partial u}{\partial r} - c \left\{ y^i y^j \frac{\partial^2 u}{\partial x^i \partial x^j} - 2y^i x^j \frac{\partial^2 u}{\partial x^i \partial y^j} + x^i x^j \frac{\partial^2 u}{\partial y^i \partial y^j} \right\}$$

for any  $u \in C^2(\partial\mathbb{H}_n^+)$ , where  $\Delta_0$  is the ordinary Laplacian on  $\mathbb{R}^{2n}$  and  $\partial/\partial r$  is the radial vector field  $x^j(\partial/\partial x^j) + y^j(\partial/\partial y^j)$ .

By Lemma 7 it follows that  $\Delta x^j = 2cx^j$  and  $\Delta y^j = 2cy^j$ , hence the first statement in Proposition 5. On the other hand  $T^\perp = c\xi$  implies  $JT^\perp = c \partial/\partial r$  hence (by Theorem 4)  $H(\Psi) = 0$ . Note that the mean curvature vector may be also computed from  $2nH(\Psi) = g^{ab}B(\partial_a, \partial_b)$  by (37) and (39).

It remains that we prove Lemma 7. The first statement is elementary yet rather involved. The identities  $g_{ac}g^{cb} = \delta_a^b$  may be written

$$(40) \quad \begin{cases} 2(\delta_{ij} + 2y_i y_j)g^{jk} - 4y_i x_j g^{j+n,k} = \delta_i^k, \\ 2(\delta_{ij} + 2y_i y_j)g^{j,k+n} - 4y_i x_j g^{j+n,k+n} = 0, \\ -4x_i y_j g^{jk} + 2(\delta_{ij} + 2x_i x_j)g^{j+n,k} = 0, \\ -4x_i y_j g^{j,k+n} + 2(\delta_{ij} + 2x_i x_j)g^{j+n,k+n} = \delta_i^k. \end{cases}$$

Contraction of the first two equations (respectively of the last two equations) by  $y^i$  (respectively by  $x^i$ ) gives

$$\begin{aligned} (1 + 2|y|^2)y_j g^{jk} - 2|y|^2 x_j g^{j+n,k} &= y^k, \\ (1 + 2|y|^2)y_j g^{j,k+n} - 2|y|^2 x_j g^{j+n,k+n} &= 0, \\ 2|x|^2 y_j g^{jk} - (1 + 2|x|^2)x_j g^{j+n,k} &= 0, \\ -2|x|^2 y_j g^{j,k+n} + (1 + 2|x|^2)x_j g^{j+n,k+n} &= x^k, \end{aligned}$$

where from

$$y_j g^{jk} = \frac{c}{2}(1 + 2|x|^2)y^k, \quad x_j g^{j+n,k} = c|x|^2 y^k,$$

$$y_j g^{j,k+n} = c|y|^2 x^k, \quad x_j g^{j+n,k+n} = \frac{c}{2}(1 + 2|y|^2)x^k,$$

and substitution back into (40) yields (39). To compute the Laplacian

$$\Delta u = \frac{\partial}{\partial x^a} \left( g^{ab} \frac{\partial u}{\partial x^b} \right) + g^{ab} \frac{\partial}{\partial x^a} \left( \log \sqrt{G} \right) \frac{\partial u}{\partial x^b}$$

(with  $G = \det[g_{ab}]$ ) we recall that  $\partial(\log \sqrt{G})/\partial x^a = \Gamma_{ba}^b$  hence (by (38))

$$\frac{\partial}{\partial x^a} \left( \log \sqrt{G} \right) = 2c x_a, \quad 1 \leq a \leq 2n.$$

Then (39) yields the result.  $\square$

## 6. THE CR YAMABE PROBLEM

Let  $M$  be a compact strictly pseudoconvex CR manifold-with-boundary, of CR dimension  $n$ , and  $\theta$  a contact form on  $M$  with  $G_\theta$  positive definite. Let us assume that  $\partial M$  is tangent to the characteristic direction  $T$  of  $d\theta$ .

**Lemma 8.** *Let us set  $p = 2 + 2/n$  and  $f = (p - 2) \log u$ , with  $u \in C^\infty(M)$ ,  $u > 0$ . If  $\hat{\theta} = e^f \theta$  then  $\partial C(M)$  is minimal in  $(C(M), F_{\hat{\theta}})$  if and only if*

$$(41) \quad \frac{\partial(u \circ \pi)}{\partial \eta} - n F_\theta(\mathbb{H}, \eta) u \circ \pi = 0 \quad \text{on } \partial C(M),$$

where  $\eta$  and  $\mathbb{H}$  are respectively an outward unit normal and the mean curvature vector of the immersion  $\partial C(M) \hookrightarrow (C(M), F_\theta)$ . In particular, if  $\xi$  and  $H$  are an outward unit normal and the mean curvature vector of the immersion  $\partial M \hookrightarrow (M, g_\theta)$  then (41) projects to

$$(42) \quad \frac{\partial u}{\partial \xi} - \frac{2n^2}{2n+1} g_\theta(H, \xi) u = 0 \quad \text{on } \partial M.$$

The first statement in Lemma 8 is of course well known in conformal geometry. We give a brief proof for the convenience of the reader. If  $\hat{\theta} = e^f \theta$  the corresponding Fefferman metric is  $F_{\hat{\theta}} = e^{f \circ \pi} F_\theta$  hence the Levi-Civita connections  $\hat{D}$  and  $D$  (of  $F_{\hat{\theta}}$  and  $F_\theta$ , respectively) are related by

$$(43) \quad \hat{D}_V W = D_V W + \frac{1}{2} \{V(f)W + W(f)V - F_\theta(V, W)D(f \circ \pi)\},$$

for any  $V, W \in T(C(M))$ , where  $D(f \circ \pi)$  is the gradient of  $f \circ \pi$  with respect to  $F_\theta$ . Our assumption  $T \in T(\partial M)$  and Proposition 1 imply that  $T(\partial C(M))$  is nondegenerate in  $T(C(M))$  with respect to  $F_\theta$ , hence with respect to  $F_{\hat{\theta}}$  as well. Let  $\mathbb{B}$  and  $\hat{\mathbb{B}}$  be the second fundamental forms of the immersions  $\partial C(M) \hookrightarrow (C(M), F_\theta)$  and  $\partial C(M) \hookrightarrow (C(M), F_{\hat{\theta}})$ . Then (by (43) and the Gauss formula)

$$(44) \quad \hat{\mathbb{B}} = \mathbb{B} - \frac{1}{2} F_\theta \otimes (D(f \circ \pi))^\perp.$$



Taking traces in (44) shows that the mean curvature vectors of the two immersions are related by  $\hat{\mathbb{H}} = e^{-f} \{ \mathbb{H} - \frac{1}{2}(D(f \circ \pi))^\perp \}$  hence  $\partial C(M)$  is minimal in  $(C(M), F_{\hat{\theta}})$  if and only if  $\mathbb{H} = (1/2)(D(f \circ \pi))^\perp$  and (41) is proved. Let  $\xi$  be an outward unit normal on  $\partial M$  in  $(M, g_\theta)$ . Then  $\eta = \xi^\uparrow$  is an outward unit normal on  $\partial C(M)$  in  $(C(M), F_\theta)$ . Then (by Theorem 2) the mean curvatures of  $\partial M \hookrightarrow (M, g_\theta)$  and  $\partial C(M) \hookrightarrow (C(M), F_\theta)$  are related by

$$F_\theta(\mathbb{H}, \eta) = \frac{2n}{2n+1} g_\theta(H, \xi) \circ \pi$$

hence (41) projects on  $M$  to give (42).  $\square$

We may consider the problem

$$(45) \quad -b_n \Delta_b u + \rho u = \lambda u^{p-1} \quad \text{in } M,$$

$$(46) \quad \frac{\partial u}{\partial \xi} - \frac{2n^2}{2n+1} \mu_\theta u = 0 \quad \text{on } \partial M,$$

(the *CR Yamabe problem* on a CR manifold-with-boundary) where

$$\Delta_b u = \text{div}(\nabla^H u), \quad u \in C^2(M),$$

is the *sublaplacian* of  $(M, \theta)$ ,  $b_n = 2 + 2/n$ ,  $\lambda$  is a constant, and  $\mu_\theta = g_\theta(H, \xi) \in \{\pm \|H\|\}$ . Also  $\nabla u$  is the gradient of  $u$  with respect to  $g_\theta$  and  $\nabla^H u = \pi_H \nabla u$  (the *horizontal gradient*) where  $\pi_H : T(M) \rightarrow H(M)$  is the projection associated with the direct sum decomposition  $T(M) = H(M) \oplus \mathbb{R}T$ . The divergence operator is meant with respect to the volume form  $\omega = \theta \wedge (d\theta)^n$ . The problem (45)-(46) is a nonlinear subelliptic problem of variational origin. Indeed, we may state

**Theorem 6.** *Let us set*

$$A_\theta(u) = \int_M \{b_n \|\nabla^H u\|^2 + \rho u^2\} \omega - a_n \int_{\partial M} \mu_\theta u^2 d\sigma,$$

$$B_\theta(u) = \int_M |u|^p \omega,$$

where  $\sigma = \text{vol}(i^* g_\theta)$ , the canonical volume form associated with the induced metric  $i^* g_\theta$  on  $\partial M$ , and  $a_n = 2^{n+2} (n+1)! n / (2n+1)$ . Moreover, let

$$Q_\theta(u) = \frac{A_\theta(u)}{B_\theta(u)}, \quad Q(M) = \inf \{Q_\theta(u) : u \in C^\infty(M), u > 0\}.$$

If  $u \in C^\infty(M)$  is a positive function such that  $Q_\theta(u) = Q(M)$  then  $u$  is a solution to (45)-(46) with  $\lambda = (p/2)Q(M)$ , a CR invariant of  $M$ .

*Proof.* If  $\{T_\alpha\}$  is a local frame of  $T_{1,0}(M)$  then the horizontal gradient is expressed by  $\nabla^H u = u^\alpha T_\alpha + u^{\bar{\alpha}} T_{\bar{\alpha}}$ , where  $u^\alpha = g^{\alpha\bar{\beta}} u_{\bar{\beta}}$  and  $u_{\bar{\beta}} = T_{\bar{\beta}}(u)$ , hence  $\|\nabla^H u\|^2 = 2u_\alpha u^\alpha$ . Then

$$\frac{d}{dt}\{A_\theta(u+th)\}_{t=0} = 2 \int_M \{b_n(u^\alpha h_\alpha + u_\alpha h^\alpha) + \rho u h\} \omega - 2a_n \int_{\partial M} \mu_\theta u h \, d\sigma,$$

for any  $h \in C^2(\text{Int}(M)) \cap C^1(M)$  (where  $\text{Int}(M) = M \setminus \partial M$ ). On the other hand

$$\begin{aligned} \int_M u^\alpha h_\alpha \omega &= \int_M \{T_\alpha(u^\alpha h) - h T_\alpha(u^\alpha)\} \omega = \\ &= \int_M \text{div}(h u^\alpha T_\alpha) \omega - \int_M \{T_\alpha(u^\alpha) + u^\alpha \text{div}(T_\alpha)\} h \omega. \end{aligned}$$

Note that  $\text{div}(T_\alpha) = \Gamma_{\beta\alpha}^\beta$  hence  $T_\alpha(u^\alpha) + u^\alpha \text{div}(T_\alpha) = u^\alpha_\alpha$ , where  $u^\alpha_\beta = g^{\alpha\bar{\gamma}} u_{\bar{\gamma}\beta}$  and  $u_{\alpha\bar{\beta}} = (\nabla^2 u)(T_\alpha, T_{\bar{\beta}})$ . The complex Hessian is meant with respect to the Tanaka-Webster connection i.e.

$$(\nabla^2 u)(X, Y) = (\nabla_X du)Y = X(Y(u)) - (\nabla_X Y)(u),$$

for any  $X, Y \in \mathcal{X}(M)$ . Note that  $\omega = c_n \, d \text{vol}(g_\theta)$  (with  $c_n = 2^n n!$ ). Then (by Green's lemma)

$$\int_M u^\alpha h_\alpha \omega = c_n \int_{\partial M} h u^\alpha g_\theta(T_\alpha, \xi) d\sigma - \int_M u^\alpha_\alpha h \omega.$$

As the sublaplacian is locally given by

$$\Delta_b u = u^\alpha_\alpha + u^{\bar{\alpha}}_{\bar{\alpha}}$$

we may conclude that

$$(47) \quad \begin{aligned} \frac{d}{dt}\{A_\theta(u+th)\}_{t=0} &= 2 \int_M (-b_n \Delta_b u + \rho u) h \omega + \\ &+ 2 \int_{\partial M} [b_n c_n g_\theta(\nabla^H u, \xi) - a_n \mu_\theta u] h \, d\sigma. \end{aligned}$$

Also

$$(48) \quad \frac{d}{dt}\{B_\theta(u+th)\}_{t=0} = p \int_M u^{1+2/n} h \omega.$$

As  $T \in T(\partial M)$  one has  $\xi \in H(M)$  hence  $g_\theta(\nabla^H u, \xi) = \xi(u)$  (also denoted by  $\partial u / \partial \xi$ ). If  $u$  achieves  $Q(M)$

$$\frac{d}{dt}\{Q_\theta(u+th)\}_{t=0} = 0$$

hence

$$2 \int_M (-b_n \Delta_b u + \rho u) h \omega + 2 \int_{\partial M} [b_n c_n \xi(u) - a_n \mu_\theta u] h \, d\sigma -$$

$$-p Q_\theta(u) \int_M u^{1+2/n} h \omega = 0.$$

In particular this holds for  $h|_{\partial M} = 0$  hence

$$-b_n \Delta_b u + \rho u = (p/2)Q(M)u^{1+2/n}$$

and going back to arbitrary  $h$

$$\frac{\partial u}{\partial \xi} - \frac{a_n}{b_n c_n} \mu_\theta u = 0 \quad \text{on } \partial M$$

which is (46) because  $a_n/(b_n c_n) = 2n^2/(2n+1)$ . The proof that  $Q(M)$  is a CR invariant is similar to the arguments in [16], p. 174-175. Let  $E^+ \rightarrow M$  be the  $\mathbb{R}_+$ -bundle spanned by  $\theta$  and let us set

$$E_x^\alpha = \{\nu : E_x^+ \rightarrow \mathbb{R} : \nu(t\theta_x) = t^{-\alpha}\nu(\theta_x), \text{ for all } t > 0\}, \quad (\alpha > 0)$$

for any  $x \in M$ . Then  $(\nu_\theta)_x(t\theta_x) = 1/t$  defines a global frame  $\{\nu_\theta\}$  of  $E^1 \rightarrow M$  (and of course  $\{\nu_\theta^\alpha\}$  is a global frame of  $E^\alpha \rightarrow M$ ). We need the *CR invariant sublaplacian*

$$L : \Gamma^\infty(E^{n/2}) \rightarrow \Gamma^\infty(E^{1+n/2}), \quad L(u\nu_\theta^{n/2}) = (-b_n \Delta_b u + \rho u)\nu_\theta^{1+n/2}.$$

By definition  $\int_M u\nu_\theta^{n+1} = \int_M u\omega$ . A section  $s = u\nu_\theta^\alpha$  in  $E^\alpha$  is *positive* if  $u > 0$ . Finally, the fact that  $Q(M)$  is a CR invariant follows from

$$(49) \quad Q(M) = \inf \left\{ \int_M (Ls) \otimes s : s \in \Gamma^\infty(E^{n/2}) \right.$$

$$\left. \text{a positive section such that } \int_M s^p = 1 \right\}.$$

The identity (49) follows from the fact that the sets  $\{A_\theta(u) : B_\theta(u) = 1, u > 0\}$  and  $\{A_\theta(u)/B_\theta(u) : u > 0\}$  coincide and from the calculation

$$\begin{aligned} \int_M (Ls) \otimes s &= \int_M (-b_n u \Delta_b u + \rho u^2) \omega, \\ \int_M u(\Delta_b u) \omega &= \int_M \{\operatorname{div}(u \nabla^H u) - \|\nabla^H u\|^2\} \omega = \\ &= c_n \int_{\partial M} u \frac{\partial u}{\partial \xi} d\sigma - \int_M \|\nabla^H u\|^2 \omega, \end{aligned}$$

hence (by (46))  $\int_M (Ls) \otimes s = A_\theta(u)$ , for any  $s = u\nu_\theta^{n/2} \in \Gamma^\infty(E^{n/2})$ .

7. MINIMAL SURFACES IN  $\mathbb{H}_n$ 

Let  $(N, g)$  be a 2-dimensional Riemannian manifold and  $\Psi : N \rightarrow \mathbb{H}_n$  a minimal isometric immersion of  $(N, g)$  into  $(\mathbb{H}_n, g_0)$ . Let  $(U, z = x + iy)$  be isothermal local coordinates on  $N$ , i.e. locally

$$g = 2E(dx^2 + dy^2),$$

for some  $E \in C^\infty(U)$ ,  $E > 0$ . As well known the Laplace-Beltrami operator of  $(N, g)$  is locally given by

$$\Delta u = \frac{2}{E} \frac{\partial^2 u}{\partial z \partial \bar{z}}, \quad u \in C^2(N).$$

Let us set  $F^j = \Psi^j + i\Psi^{j+n}$ ,  $1 \leq j \leq n$ , and  $f = \Psi^0$ . Also, we consider  $K : U \rightarrow \mathbb{C}$  given by

$$K = \frac{\partial f}{\partial z} + i \sum_{j=1}^n (F^j \frac{\partial \bar{F}^j}{\partial z} - \bar{F}^j \frac{\partial F^j}{\partial z}).$$

**Lemma 9.** *The normal component of the characteristic vector field  $T = \partial/\partial t$  of  $d\theta_0$  is locally given by*

$$(50) \quad T^\perp = (1 - \frac{2}{E}|K|^2)T - \frac{1}{E} \left\{ (\bar{K} \frac{\partial F^j}{\partial z} + K \frac{\partial F^j}{\partial \bar{z}}) Z_j + (\bar{K} \frac{\partial \bar{F}^j}{\partial z} + K \frac{\partial \bar{F}^j}{\partial \bar{z}}) \bar{Z}_j \right\}.$$

*Proof.* The characteristic direction decomposes as  $T = \Psi_* T^T + T^\perp$ , where  $T^T = \lambda \partial/\partial z + \bar{\lambda} \partial/\partial \bar{z}$ , for some  $\lambda \in C^\infty(U)$ . Taking the inner product with  $\Psi_* \partial/\partial \bar{z}$  yields  $\lambda = \bar{K}/E$  hence (35) yields (50).  $\square$

**Lemma 10.** *Let  $\Psi : N \rightarrow \mathbb{H}_n$  be an isometric immersion of  $(N, g)$  into  $(\mathbb{H}_n, g_0)$ . Then*

$$(51) \quad 2 \sum_{j=1}^n \frac{\partial F^j}{\partial z} \frac{\partial F^j}{\partial \bar{z}} + K^2 = 0,$$

$$(52) \quad \sum_{j=1}^n \left( \left| \frac{\partial F^j}{\partial z} \right|^2 + \left| \frac{\partial F^j}{\partial \bar{z}} \right|^2 \right) + |K|^2 \neq 0.$$

*Proof.* A calculation based on (35) shows that the Webster metric of  $(\mathbb{H}_n, \theta_0)$  is given (with respect to the frame  $\{\partial/\partial x^j, \partial/\partial y^j, \partial/\partial t\}$ ) by

$$g_0 : \begin{pmatrix} 2(\delta_{jk} + 2y_j y_k) & -4y_j x_k & -2y_j \\ -4x_j y_k & 2(\delta_{jk} + 2x_j x_k) & 2x_j \\ -2y_k & 2x_k & 1 \end{pmatrix}$$

hence

$$g_\theta(\Psi_* \frac{\partial}{\partial z}, \Psi_* \frac{\partial}{\partial \bar{z}}) = \Psi_z^A \Psi_{\bar{z}}^B g_{AB} = |K|^2 + \sum_j (|F_z^j|^2 + |F_{\bar{z}}^j|^2),$$

$$g_\theta(\Psi_* \frac{\partial}{\partial z}, \Psi_* \frac{\partial}{\partial z}) = \Psi_z^A \Psi_z^B g_{AB} = K^2 + \sum_j F_z^j F_z^j,$$

(where  $g_{AB} = g_0(\partial_A, \partial_B)$ ). Since  $\Psi$  is an isometric immersion

$$(53) \quad g_0(\Psi_* \frac{\partial}{\partial x}, \Psi_* \frac{\partial}{\partial y}) = 0,$$

$$(54) \quad g_0(\Psi_* \frac{\partial}{\partial x}, \Psi_* \frac{\partial}{\partial x}) = g_0(\Psi_* \frac{\partial}{\partial y}, \Psi_* \frac{\partial}{\partial y}),$$

and then (53)-(54) yield (51)-(52), respectively.  $\square$

Note that (again by (35))

$$\begin{aligned} \Delta \Psi &= (\Delta \psi^A) \partial_A = (\Delta F^j) Z_j + (\Delta \bar{F}^j) \bar{Z}_j + \\ &+ \{ \Delta f + 2 \sum_{j=1}^n (\Psi^j \Delta \Psi^{j+n} - \Psi^{j+n} \Delta \Psi^j) \} T \end{aligned}$$

and (by Lemma 9)

$$iE J T^\perp = (\bar{K} F_z^j + K F_{\bar{z}}^j) Z_j - (\bar{K} \bar{F}_z^j + K \bar{F}_{\bar{z}}^j) \bar{Z}_j$$

hence the minimality condition (29) becomes

$$(55) \quad \Delta F^j = -\frac{2i}{E} (\bar{K} F_z^j + K F_{\bar{z}}^j), \quad 1 \leq j \leq n,$$

and  $\Delta f = \frac{i}{2} \sum_j (\bar{F}^j \Delta F^j - F^j \Delta \bar{F}^j)$  or (by (55))

$$(56) \quad \Delta f = \frac{1}{E} \{ \bar{K} (|F|^2)_z + K (|F|^2)_{\bar{z}} \}.$$

Let  $N$  be a Riemann surface. An immersion  $\Psi : N \rightarrow \mathbb{H}_n$  is *conformal* if (53)-(54) hold, for any local complex coordinate system  $(U, z = x + iy)$

on  $N$ . Moreover (55)-(56) lead to the following definition. A *minimal surface* in  $\mathbb{H}_n$  is a Riemann surface  $N$  together with a conformal immersion  $\Psi : N \rightarrow \mathbb{H}_n$  such that

$$(57) \quad F_{z\bar{z}}^j + i(\bar{K}F_z^j + KF_{\bar{z}}^j) = 0, \quad 1 \leq j \leq n,$$

$$(58) \quad f_{z\bar{z}} - \frac{1}{2}\{\bar{K}(|F|^2)_z + K(|F|^2)_{\bar{z}}\} = 0.$$

Here  $|F|^2 = \sum_j F^j \bar{F}^j$ . We may state the following

**Theorem 7.** *Let  $\Omega \subset \mathbb{C}$  be a simply connected domain and  $\Psi : \Omega \rightarrow \mathbb{H}_n$  a minimal surface such that  $JT^\perp = 0$  (e.g.  $\Psi(\Omega)$  is tangent to the characteristic direction of  $d\theta_0$ ). Let us set  $\Phi = \partial\Psi/\partial z$ . Then  $\Phi$  is holomorphic and (51)-(52) hold in  $\Omega$ . Viceversa, let  $\Phi : \Omega \rightarrow \mathbb{C}^{2n+1}$  be a holomorphic map and let us set*

$$(59) \quad \Psi^A(z) = \operatorname{Re} \int_o^z \Phi^j(\zeta) d\zeta, \quad A \in \{0, 1, \dots, 2n\},$$

for any  $z \in \Omega$ , where  $o \in \Omega$  is a fixed base point. Let  $K : \Omega \rightarrow \mathbb{C}$  be given by

$$K = \Phi^0 - 2 \sum_{j=1}^n \{ \Phi^j \operatorname{Re} \int_o^z \Phi^{j+n}(\zeta) d\zeta + \Phi^{j+n} \operatorname{Re} \int_o^z \Phi^j(\zeta) d\zeta \}.$$

If the following identities hold in  $\Omega$

$$(60) \quad 2 \sum_{j=1}^n \{ |\Phi^j|^2 - |\Phi^{j+n}|^2 + i(\Phi^{j+n} \bar{\Phi}^j + \Phi^j \bar{\Phi}^{j+n}) \} + K^2 = 0,$$

$$(61) \quad 2 \sum_{j=1}^n (|\Phi^j|^2 + |\Phi^{j+n}|^2) + |K|^2 \neq 0,$$

$$(62) \quad \bar{K}(\Phi^j + i\Phi^{j+n}) + K(\bar{\Phi}^j + i\bar{\Phi}^{j+n}) = 0, \quad 1 \leq j \leq n,$$

then  $\Psi : \Omega \rightarrow \mathbb{H}_n$  is a minimal immersion such that  $JT^\perp = 0$ .

Compare to Theorem 8.1 in [8], p. 58. *Proof of Theorem 7.* (51)-(52) follow from Lemma 10. Next  $JT^\perp = 0$  and (55)-(56) yield  $\partial\Phi/\partial\bar{z} = 0$  in  $\Omega$ .

Viceversa, given a holomorphic map  $\Phi : \Omega \rightarrow \mathbb{C}^{2n+1}$  the function  $\Psi^A$  given by (59) is well defined (by the classical theorem of Cauchy the integral doesn't depend upon the choice of path from  $o$  to  $z$ ) and

$\partial\Psi/\partial z = \Phi$  hence (60)-(61) yield (51)-(52) so that (53)-(54) are satisfied and  $g_0(\Psi_*\partial/\partial x, \Psi_*\partial/\partial x) \neq 0$ , i.e.  $\Psi$  is a conformal immersion. Finally (62) may be written

$$\overline{K}F_z^j + KF_{\bar{z}}^j = 0, \quad 1 \leq j \leq n,$$

which is equivalent (by Lemma 9) to  $JT^\perp = 0$  and (57)-(58) imply minimality.  $\square$

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